# **Consolidated Practice Directions of the Land Court**

**President FY Kingham** 

Last updated 13 April 2023

## Foreword

The Consolidated Practice Directions of the Land Court of Queensland is provided in response to a suggestion made during the Court's regular consultations with the profession. It will be updated when a new practice direction is issued, or a current practice direction is amended or repealed. It is available on the Land Court Website to facilitate access for self-represented parties and members of the profession.

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# **Digital Procedures in the Land Court**

**Practice Direction 5 of 2020** 

President FY Kingham

**Issued 11 December 2020** 

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## Introduction

- This Practice Direction is issued pursuant to s 22(2) of the Land Court Act 2000 and repeals and replaces Land Court Practice Direction 1 of 2019 – eTrials (Electronic hearings) and Practice Direction 2 of 2019 – Provision of Electronic Documents to the Land Court, which are repealed in whole.
- 2. This Practice Direction explains the digital procedures used in the Land Court.
- 3. This Practice Direction is to be applied with the objective of avoiding undue delay, expense and technicality.
- 4. The purposes of this Practice Direction are to:
  - a. facilitate the Court dealing with cases in a way that is accessible, fair, just, economical and expeditious;
  - encourage the efficient and cost-effective management of documents at all stages of litigation; and
  - c. facilitate the conduct of electronic trials.
- 5. Unless stated otherwise, a reference to a party or the parties includes their lawyers or agents.
- 6. Terms that appear in italics in the Practice Direction are defined in the 'words and meanings' section of the document.

## **Digital Cases**

## WHAT IS A DIGITAL CASE?

7. The Court may direct that the case proceeds as a *digital case*. This means that parties are expected to provide all filed documents electronically.

### WHEN ARE DIGITAL CASES USED?

- 8. A case will proceed as a digital case:
  - a. if it commences by referral eg. mining objection hearings; or
  - b. where the Court makes a direction on its own initiative or at the request of a party.

### WHAT IS THE PROCESS?

- 9. The Registry will advise the parties that their case will proceed as a digital case.
- 10. The filing party must file any documents in a digital case electronically.
- 11. If it is not reasonably practicable for a party to file documents electronically, they may request that the Registry receives them in hard copy. If the Principal Registrar accepts that request, the party may file documents in hard copy. The Registry will convert and store the documents electronically.

## Filing documents electronically

## WHAT DOCUMENTS MAY BE FILED ELECTRONICALLY?

- 12. The following documents may be *filed electronically*, whether or not the file is a digital case:
  - a. general applications in a case;
  - b. affidavits, statements of evidence, expert reports;
  - c. other documents, including *referral documents* in cases commenced in the Court under a recommendatory provision;<sup>1</sup> and
  - d. requests for subpoenas or notices of non-party disclosure.
- 13. The Principal Registrar may issue a practice note prescribing other documents that may be filed electronically.

### WHAT DIGITAL FORMATS ARE ACCEPTED?

- 14. The Registry will accept a document electronically for filing if it complies with the following requirements:
  - a. it is provided as an unlocked *text-searchable PDF* file or in another form approved by the Principal Registrar in writing prior to filing;
  - b. it is provided:
    - a. by email (only if the document does not exceed 25MB);
    - b. on a USB or portable hard drive;
    - c. by uploading to a *SharePoint* site as notified by the Registry.
- 15. The Registry will not accept a document electronically for filing if the document can only be accessed through a file hosting service or via links to other websites provided in an email.

See s 52A *Land Court Act 2000* which defines the meaning of recommendatory provision. It includes referrals to the Court which commence mining objection hearings.

### HOW ARE DOCUMENTS FILED ELECTRONICALLY?

- 16. A Registry officer will file stamp the document electronically. <sup>2</sup> A seal will also be applied to documents requiring the Court seal. However, a subpoena will not issue until the party requesting the subpoena has paid the applicable fee.
- 17. The Registry officer will save and link the document to the Land Court file management database.
- 18. The Registry officer will reply via email advising that the document has been filed and attach a copy of the document with the relevant stamps applied.
- 19. If the document is too large for the Registry to send back to the filing party, the Registry officer will only send the first page of the document which will contain the relevant stamps.

### DATE FOR FILING

- 20. The date of filing for a document provided electronically will be:
  - a. if the document is received by the Registry before 4.30pm on a day the registry is open for business that day; or
  - b. otherwise the next day the Registry is open for business.

### SEARCHING A DIGITAL FILE

21. Unless otherwise provided by Practice Direction, a digital file may be searched by request to the Principal Registrar.<sup>3</sup>

If a PDF document is locked, the Registry is unable to apply the filing stamps electronically. The Registry will inform the filing party of this. The filing party may wish to send an unlocked version of the document so the electronic stamps can be applied.

The Court intends to provide online access to the file index and filed documents as soon as practicable.

## **Hearing Arrangements**

- 22. At any stage of a case, prior to the commencement of the hearing, the Court may direct that it is heard as an eTrial, on its own initiative or at the parties' request.
- 23. An eTrial is a hearing conducted using computer hardware and software within the courtroom which allows all documentary evidence to be viewed in electronic form by the Court and by those parties involved in the hearing. It has the features set out below:
  - a. documents within an eTrial portal are fully searchable. This means keywords and phrases within relevant documents can be quickly and easily searched. This assists parties in the preparation of their case and, where relevant, negotiations, as well as providing easy access to documents during the hearing;
  - b. all parties, including remote and regional participants have 24-hour real time access to relevant documents before and during a hearing;
  - c. eTrials significantly reduce paper handling and photocopying costs;
  - d. eTrials are compatible with commercial document management and case preparation systems; and
  - e. documents can be seen by all attendees at the hearing, not just those with access to hardcopies.
- 24. The Court will consider directing an eTrial in all cases, taking into account relevant considerations, including
  - a. the likely length of the hearing;
  - b. the number of witnesses, including expert witnesses;
  - c. the potential number of documents;
  - d. whether all parties to the proceedings have the ability to produce and receive documents in PDF form;
  - e. whether one or more parties are located outside of Brisbane;
  - f. whether the likely venue for the trial will support an eTrial;
  - g. whether the costs of an eTrial can be easily absorbed in a discovery phase in which documents exchanged are already in electronic format; and

- h. the convenience of the Court.
- 25. The earlier a case is identified for an eTrial the more likely it is that
  - a. costs will be reduced through a streamlining of Court processes; and
  - b. parties will get the full benefit of the ease of access to electronic documents.
- 26. If the case has not already been directed to eTrial, the Court will request the parties to consider whether the case should proceed as an eTrial, once it is clear whether expert evidence will be relied on and what process the Court will use to manage the expert evidence.
- 27. However, a party may request an eTrial at any stage of a case prior to the hearing commencing.
- 28. The Court may direct special arrangements for electronic documents if the hearing will take place in a venue that cannot support an eTrial.

## Cases proceeding by eTrial

### THE ETRIAL PORTAL

- 29. If the Court directs a case proceeds as an eTrial, it will establish and maintain an eTrial portal for the case.
- 30. Access to the eTrial portal is limited to recipients of an access link.
- 31. A party may nominate more than one recipient of an access link. The Principal Registrar will ask each party to provide the email addresses for any recipients.
- 32. Prior to the hearing commencing, a recipient may upload, read and download documents. Once a hearing commences, a recipient may only read or download documents on the eTrial portal.
- 33. Any type of document<sup>4</sup> can be added to the eTrial portal, provided it complies with paragraph 14 of this Practice Direction.

### THE ETRIAL PROCESS BEFORE THE HEARING

## Pre-hearing Conference

- 34. As soon as practicable after a case is directed to proceed as an eTrial, the Principal Registrar will contact the parties to discuss a pre-hearing conference to settle the arrangements for the eTrial.
- 35. Parties must bring the Proposed Arrangements for eTrial form<sup>5</sup> to the pre-hearing conference.
- 36. At the pre-hearing conference, the Principal Registrar will
  - a. discuss the eTrial portal and, if possible, demonstrate it to the parties; and
  - b. discuss the parties' proposals for the format of the documents and the document ID numbering convention.
- 37. The Principal Registrar will decide the arrangements for the eTrial, if they are not agreed at the pre-hearing conference.

<sup>&</sup>lt;sup>4</sup> As defined in the *Evidence Act 1977* Sch 3.

See Appendix A.

- 38. If there are no areas of disagreement between the parties in relation to the conduct of an eTrial the parties may request the Principal Registrar to vacate the pre-hearing conference by providing
  - a. the Proposed Arrangements for eTrial form; and
  - b. a consent request for the pre-hearing conference to be vacated.
- 39. If the Principal Registrar approves the parties' proposed arrangements for the eTrial, the Principal Registrar may vacate the pre-hearing conference.

#### **Document Format**

40. Unless otherwise approved by the Principal Registrar, a document for use in an eTrial must be in the format provided for by paragraph 14.

### **Document Numbering**

- 41. A document ID is the unique identifier for the document, which allows each document on the eTrial portal to be located and cited.
- 42. Each document (but not each page of a document) will have a document ID (eg. ABC.001 and not ABC 0001.001.0001).
- 43. If the parties do not agree on the numbering convention for the documents at, or prior to, the pre-hearing conference, the Principal Registrar will decide the numbering convention for the case.

## **Uploading Documents**

- 44. Unless otherwise directed, parties must upload all documents they wish to access during the hearing, using the agreed or decided numbering convention, no later than five business days prior to the date of the hearing review.
- 45. A party may request assistance from the Court in uploading their documents.
- 46. The Court will set up a notification alert for the portal for when new documents are uploaded, altered or deleted.
- 47. Unless otherwise directed, in an eTrial, service is effected upon the document being uploaded to the eTrial portal.

### **Confidential Documents**

- 48. A party must not upload confidential documents to the eTrial portal.
- 49. If a document has been disclosed subject to directions to preserve its confidentiality, the document can only be uploaded to the eTrial portal by the Court. The Court will advise case-specific arrangements to maintain confidentiality, either as agreed between the parties and the Principal Registrar or as directed by the hearing Member.

## Spreadsheet of metadata

50. Any party who uploads new documents to the eTrial portal must provide the Principal Registrar with an Excel spreadsheet of document metadata. This is to assist the Court with applying metadata to the eTrial portal and must be done **every** time new documents are uploaded.

## THE ETRIAL PROCESS DURING THE HEARING

### Uploading documents

- 51. Once a hearing commences, the parties' access to the eTrial portal will be limited to read and download only.
- 52. The Associate to the hearing Member is responsible for managing the eTrial portal during the hearing. The Associate may make any changes to documents and upload any additional documents as agreed by the parties or as otherwise directed by hearing Member.
- 53. If a party provides written submissions, outlines of arguments or lists of authorities, they should provide them in digital format to the Associate to be uploaded to the eTrial portal before they refer to them.
- 54. If a party wishes to show a new document to a witness before it has been uploaded to the eTrial portal, they must discuss this with the Associate beforehand, so arrangements can be made to display it in the courtroom.

See Appendix B for format required for spreadsheet of document metadata.

- 55. If a new document is admitted into evidence during the hearing,<sup>7</sup> the Associate will upload it to the eTrial portal as soon as practicable and, if the hearing has not concluded, before the hearing resumes.
- 56. Unless otherwise arranged with the Associate, documents to be uploaded during the hearing must be provided to the Associate in the following way:
  - a. documents with a file size of under 25MB may be emailed to the Associate;
     or
  - b. documents with a file size of 25MB or greater must be provided to the Associate by USB or portable hard drive.

## Referring to documents

57. When referring to a document during a hearing, parties must cite the document ID in full, followed by the digital page number and any paragraph numbers.

## Tendering exhibits

- 58. Uploading a document to the eTrial portal does not make the document evidence in a hearing, unless there is a direction to that effect.<sup>8</sup>
- 59. If a party wants to tender a document on the eTrial portal as an exhibit, they must tender it and, if it is admitted into evidence, the Associate will mark the document as an exhibit on the eTrial portal.

This allows the party to introduce a document during a witness' evidence without prior agreement or order.

E.g. *Practice Direction 4 of 2018 - Procedure for Mining Objection Hearings* provides each document in the application material in a mining objection hearing will be marked as an exhibit: see [36].

## The eTrial process after the hearing

- 60. The eTrial portal will be retained for use in the event of:
  - a. an appeal against, or judicial review of, the decision; or
  - b. a remitted hearing.
- 61. The parties' access to the eTrial portal will be removed
  - a. if the case resolves before hearing, when the file is closed;
  - b. after any relevant appeal period has expired; or
  - c. an appeal or judicial review application has been decided.

## Words and meanings

*Digital case:* The Court file, which includes filed documents, orders, correspondence and exhibits, is kept electronically.

Filing documents electronically: Providing documents to the Court for filing in electronic format in accordance with this practice direction.

Hearing review: Directions for hearings arrangements may be made by the President or Member managing the case list. At the hearing review parties or their legal representative inform the Court of the stage they are at in preparation for the hearing. This ensures that all parties are ready for the hearing and have provided the President or Member with the information necessary to proceed to the hearing.

Referral documents: Referral documents include the approved form for referral, if any, and the documents provided to the Court by the referring agency or party to commence a case under a recommendatory provision.

*SharePoint:* SharePoint is a web-based document management and storage platform that integrates with Microsoft Office.

*Text-searchable PDF:* A text-searchable PDF file is a Portable Document Format file which enables text to be searched using the standard Adobe Reader search function.

# Appendix A - Proposed Arrangements for eTrial

Technical (e.g. litigation support) contact details:	
Name:	
Phone:	
Mobile:	
Email:	
Proposed numbering convention for document	
IDs (each document, not each page, must have a unique document ID)	
Proposed format for images, audio and video files	

## Appendix B – Document Metadata Spreadsheet

Land Court of Queensland - Document Metadata Spreadsheet						
Case						
name:						
Party						
name:						
Document ID:	File name:	Document title: 9	Document date: 10	Author: 11	Uploaded by: 12	Filed Y/N

<sup>&</sup>lt;sup>9</sup> The document title should provide a specific description of the document.

If there is no date available or where an available date does not record either the day, month or year, the date must be recoded as 'undated' for the purpose of the hearing documents index. If a document spans a period of time or contains multiple dates, the date must be recoded as the earliest date in the range for the purpose of the hearing documents index.

Where there is no apparent author the field may be left blank.

Name of the party on whose behalf the document was uploaded. This cannot be left blank.

# **Practice Direction 3 of 2022**

# DIGITALLY RECORDED PROCEEDINGS: MEANS OF IDENTIFYING PROCEEDINGS, THOSE APPEARING AND WITNESSES

Digital recording of court proceedings in the State of Queensland is now being undertaken by two outsourced Transcript Service Providers (**TSP**) namely VIQ Australia and EPIQ.

The system being used does not require the physical presence within the courtroom of any TSP personnel and so measures must be taken to ensure the sufficient identification of:

- a) The proceeding being recorded;
- b) The legal representatives appearing, or parties appearing in person; and
- c) The names of witnesses giving evidence.

To that end, the following requirements must be met.

### Lists of witnesses

- When a party intends to call on one or more witnesses to give oral evidence at a trial
  or other hearing, they must, no later than the day before the matter is listed to
  commence, provide the witness list to the presiding Member's associate for
  uploading to QTranscripts.
- 2. The witness list must contain the following information:
  - a. The full title of the trial or hearing (including the file number);
  - b. The commencement date of the trial or hearing;
  - c. The name of the Member presiding (if known) and;
  - d. The full names of the witnesses intended to be called.

## Announcing a proceeding

- 3. At a review, the Member's associate will announce the title of the proceeding in sufficient detail to identify the proceeding by naming the parties and specifying the file number.
- 4. In all other cases, principally trials, appeals and hearings, the presiding Member will direct the associate, to "call the matter", that is, to state the parties to the proceeding and the file number.

## **Announcing appearances**

- 5. A party appearing in a proceeding, whether a legal representative or a person appearing without legal representation, will, at the commencement of proceedings, state:
  - (a) Their surnames and initials (spelling the surname);
  - (b) The professional capacity, if any, in which they appear;
  - (c) The party or parties whom they represent, if that be the case; and
  - (d) The name of the instructing solicitor, or the solicitor with whom the person is connected, as the case may be.

## **Calling witnesses**

6. When a witness is called to give evidence, the person calling the witness will spell the given name and surname of the witness.

## **Appearance slips**

7. A party in a proceeding must complete an appearance slip and provide two copies to the associate as, or immediately before, the appearances are announced.

## Commencement

This Practice Direction takes effect from 24 August 2022.

President FY Kingham Issued 24 August 2022

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# PRACTICE DIRECTION NUMBER 5 of 2017

## LAND COURT OF QUEENSLAND

### REPRESENTATION BY AGENTS

- 1. The purpose of this Practice Direction is to facilitate representation by agents other than legal practitioners and to enhance the information available to their principals.
- 2. The procedures set out in this Practice Direction may be varied by direction of the Court.
- 3. By the time of their first appearance, an agent who is not a legal practitioner, appearing in that capacity, must file written authority of their engagement to act in the approved form signed by their principal. Any limitation of their authority must be recorded on the form.
- 4. The principal must confirm they have read the following information by signing the approved form:-

Parties appearing in the Land Court often engage the services of a lawyer to represent them. Lawyers offer clients professional skill and expertise. They are accountable to their professional bodies and to the Court. They are also covered by insurance for any potential liability claims made against them by their clients.

However, a party may choose to represent themselves or be represented by an agent.

Before deciding to be represented by an agent, you should give careful consideration to the suitability and skill of the agent. You should be aware that agents (unlike lawyers) are not required to have professional indemnity insurance cover when representing clients before the Land Court. If you hire an agent and they have no professional indemnity insurance, you may find it difficult to get compensation if serious errors are made by the agent.

In some proceedings in the Land Court, costs are awarded against the unsuccessful party. If the winning party has engaged an agent to represent them, then it is unlikely the agent's fees can be recovered from the unsuccessful party.

You may be asked by your agent to sign a waiver to remove your right to make a claim against the agent if you're unhappy with outcome of the proceedings. You should be aware that you are engaging an agent who may not have to accept any responsibility for the outcome of the proceedings.

Fleur Kingham President 22/03/2017

## **PRACTICE DIRECTION NUMBER 4 of 2017**

## LAND COURT OF QUEENSLAND

### DIRECT ACCESS BRIEFING

- 1. This Practice Direction is intended to minimise the risk of disruption to litigation and to promote the orderly conduct of cases where a barrister accepts a brief from a person other than a solicitor retained on behalf of a client ("a direct access brief"). The Practice Direction does not apply where a barrister has accepted a brief from a "government legal officer" as defined in the *Legal Profession Act 2007* (s 12).
- 2. It is expected that a barrister who accepts a direct access brief to appear in the Land Court will:
  - (a) if not familiar with the practices and procedures of the Court, take steps to become familiar with those practices and procedures including the matters set out in the *Land Court Act 2000*, the *Land Court Rules 2000* and the various Practice Directions;
  - (b) obtain a detailed understanding of the matter, including the client's potential case;
  - (c) give consideration to the evidence likely to be required to be called in the case;
  - (d) ascertain the nature and volume of documentary evidence likely to be relevant in the case;
  - (e) ascertain the identity and number of potential witnesses;
  - (f) give detailed consideration to the manner in which the evidence will be collected and prepared for presentation to the Court;
  - (g) give careful consideration to the likely steps to be taken in the matter, including the prospect of interlocutory proceedings, case flow management and involvement in the ADR processes utilised in the Court;
  - (h) consider whether, having regard to the resources available to the barrister, including the barrister's experience, general competence, and familiarity with the areas of practice likely to be relevant to the matter, the barrister is satisfied that:
    - (i) the barrister will be able properly to prepare the case for hearing bearing in mind the requirements of rr 15 and 17 of the *Barristers' Conduct Rules* (see appendix); and
    - (ii) the barrister will be able to take all appropriate action on the client's behalf, in a timely fashion, and in accordance with any rules of practice and procedure, practice directions, or other likely orders or directions made in respect of the conduct of the matter; and
    - (iii) refuse to accept the direct access brief unless so satisfied.
- 3. A barrister who accepts a direct access brief must:
  - (a) comply with the requirements of r 24B of the *Barristers' Conduct Rules* (see appendix) (this requirement applies to all direct access

briefs, that is, all briefs from a person other than a solicitor retained on behalf of a client, whether or not that person is the client);

- (b) cause a document to be prepared which:
  - (i) sets out each of the matters which the barrister is required to disclose under r 24B of the *Barristers' Conduct Rules*;
  - (ii) includes the written acknowledgement, signed by the prospective client, referred to in r 24B(b) of the *Barristers' Conduct Rules*;
  - (iii) contains a certification, signed by the barrister:
    - A. that he or she has complied with paragraph 2 of this Practice Direction; and
    - B. that he or she informed the prospective client that any complaint of professional misconduct, unsatisfactory professional conduct, or other conduct to which Chapters 3 or 4 of the *Legal Profession Act 2007* applies, may be made to the Legal Services Commissioner (Level 30, 400 George Street, Brisbane, Q, 4000);
- (c) at the time at which any Court proceedings are instituted (or if the barrister is retained subsequent to the institution of proceedings, at the time the next document is filed in Court, and in any event before the barrister appears in Court in relation to the matter), cause:
  - (i) the document to be filed in the Registry; and
  - (ii) a copy of the document to be delivered to the Chief Executive of the Bar Association of Queensland.
- 4. Where a barrister has accepted a direct access brief, the barrister must not, in accordance with r 17(e) of the *Barristers' Conduct Rules*, be the address for service of any document or accept service of any document in a proceeding before the Court.

Fleur Kingham President 21/03/2017

### **APPENDIX**

## Bar Association of Queensland Barristers' Conduct Rules 26 August 2016

. . .

### The Work of a Barrister

- 15. Barristers' work consists of:
  - (a) appearing as an advocate;
  - (b) preparing to appear as an advocate;
  - (c) negotiating for a client with an opponent to compromise a case;
  - (d) representing a client in a mediation or arbitration or other method of alternative dispute resolution;
  - (e) acting as a mediator or arbitrator or expert in any dispute resolution;
  - (f) giving legal advice;
  - (g) preparing or advising on documents to be used by a client or by others in relation to the client's case or other affairs;
  - (h) carrying out work properly incidental to the kinds of work referred to in (a)-(g); and
  - (i) such other work as is from time to time commonly carried out by barristers.

. . .

- 17. A barrister must not, subject to Rules 18 and 19,
  - (a) act as a person's general agent or attorney in that person's business or dealings with others;
  - (b) conduct correspondence in the barrister's name on behalf of any person otherwise than with the opponent;
  - (c) place herself or himself at risk of becoming a witness, by investigating facts for the purposes of appearing as an advocate or giving legal advice, otherwise than by:
    - (i) conferring with the client, the instructing solicitor, prospective witnesses or experts;
    - (ii) examining documents provided by the instructing solicitor or the client, as the case may be, or produced to the court;
    - (iii) viewing a place or things by arrangement with the instructing solicitor or the client; or
    - (iv) library research;
  - (d) act as a person's only representative in dealings with any court, otherwise than when actually appearing as an advocate;
  - (e) be the address for service of any document or accept service of any document;

- (f) serve any process of any court;
- (g) conduct the conveyance of any property for any other person;
- (h) administer any trust estate or fund for any other person;
- (i) obtain probate or letters of administration for any other person;
- (j) incorporate companies or provide shelf companies for any other person;
- (k) prepare or lodge returns for any other person, unless the barrister is registered or accredited to do so under the applicable taxation legislation; or
- (1) hold, invest or disburse any fund for any other person.

. . .

- 24B. A barrister who proposes to accept instructions directly from a person who is not a solicitor must:
  - (a) inform the prospective client in writing of:
    - (i) the effect of Rules 15 and 17;
    - (ii) the fact that circumstances may require the client to retain an instructing solicitor at short notice, and possibly during the performance of the work;
    - (iii) any other disadvantage which the barrister believes on reasonable grounds may, as a real possibility, be suffered by the client if the client does not retain an instructing solicitor;
    - (iv) the relative capacity of the barrister in performing barristers' work to supply the requested facilities or services to the client compared to the capacity of the barrister together with an instructing solicitor to supply them; and
    - (v) a fair description of the advocacy experience of the barrister; and
  - (b) obtain a written acknowledgement, signed by the prospective client, that he or she has been informed of the matters in (a) above.

# The Process for Appeals under the Land Valuation Act 2010

**Practice Direction 1 of 2021** 

President FY Kingham

Amended on 20 March 2023

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## **PRELIMINARY**

## **Preliminary**

- 1. This Practice Direction applies to proceedings commenced in the Land Court by way of an appeal under the *Land Valuation Act 2010* and replaces Practice Direction 2 of 2015.
- 2. In cases where the valuation appealed against is \$5 million or less, the parties must attend a *preliminary conference*<sup>1</sup> (PC) in an effort to resolve or narrow the issues in dispute.
- 3. Where the valuation appealed against is more than \$5 million:
  - (a) the Court will not offer a PC save in exceptional circumstances,
  - (b) the Registry will issue the standard directions (Appendix B).

Preliminary conference means an informal meeting, supervised by the Court, which brings the parties together to discuss the case and try to settle it at an early stage. The conference is usually convened by the Judicial Registrar but may be convened by a Member of the Court. See section 27B of Land Court Act 2000.

# PC LISTING ARRANGEMENTS

## Listing arrangements

- 4. The Court will schedule a PC as soon as reasonably practicable after the filing of the appeal.
- 5. Normally, the PC will be held in the district where the subject land is located.

## PC PROCEDURE

## Procedure

- 6. Unless given leave by the Court, each party or their representative must attend the PC in person.
- 7. If a party, or their representative, wishes to appear otherwise than in person (e.g. by telephone or video link), they must apply to the Court at least 14 days prior to the date scheduled for the PC, stating why personal attendance is not possible.
- 8. The party or representative attending the PC must:
  - a) be familiar with the substance of the issues in dispute;
  - b) be prepared to identify and discuss the issues in dispute in an attempt to reach a resolution; and
  - c) have authority to resolve the appeal or any issue in dispute.
- 9. Each party must act reasonably and genuinely in the PC.
- 10. If a party fails to attend the PC or is not represented by a person with appropriate authority, the Member or Judicial Registrar presiding may terminate the PC and make any order as to costs as they consider appropriate.

## **CONCLUSION OF THE PC**

## Conclusion of the PC

- 11. If the parties agree on a resolution of their dispute, or any part of it, the Member or Judicial Registrar presiding may dispose of the appeal in the way agreed, including by making consent orders.
- 12. If the parties require additional time to continue their negotiations, the Member or Judicial Registrar presiding may:
  - a. grant a period of two (2) weeks for further discussion; and/or
  - b. list the appeal for another PC.
- 13. If the parties do not reach agreement at the PC, or within two weeks after the conference, and the Member or Judicial Registrar presiding has not exercised their discretion under [12] above, the registry will issue directions for the further conduct of the appeal.
- 14. If the parties do not intend to call expert evidence, the appeal will be listed for hearing using *fast track directions*.<sup>2</sup>
- 15. If the parties propose to call expert evidence, the registry will issue *standard directions*<sup>3</sup> for preliminary steps and an early review by the Member managing the LVA list.

<sup>&</sup>lt;sup>2</sup> See Appendix A.

<sup>&</sup>lt;sup>3</sup> See Appendix B.

## APPENDIX A – FAST TRACK DIRECTIONS

## Fast track directions

- 1. By 4:00pm on [4 weeks from the date of the orders], the [appellant's name] must file in the Land Court Registry and serve on the Valuer-General its statement of facts and issues which must address the following matters:
  - a) the alleged error in the issued valuation;
  - b) the [site/unimproved] value of the land which [the appellant's name] seeks for the valuation;
  - c) the highest and best use of the land;
  - d) the valuation methodology adopted;
  - e) a list of comparable sales; and
  - f) details of any site-specific characteristics, including planning and site constraints that affect valuation.
- 2. By **4:00pm on [6 weeks from the date of the orders]**, the Valuer-General must file in the Land Court Registry and serve on [the appellant's name] its statement of facts and issues in response which must address the following matters:
  - a) the [site/unimproved] value of the land;
  - b) the highest and best use of the land;
  - c) the valuation methodology adopted;
  - d) a list of any sales proposed by [the appellant's name] which are accepted as comparable;
  - e) a list of any additional sales that were not referred to in [the appellant's name] list which are said to be comparable;

## APPENDIX A – FAST TRACK DIRECTIONS

- f) details of any site-specific characteristics, including planning and site constraints that were considered in determining the valuation; and
- g) detail the reasons for its objection decision in relation to the land.
- 3. At the hearing, the statement of facts and issues will be the evidence in chief of the author, unless the Court orders otherwise.

"Author" means:

- a. For an individual appellant, the appellant or a person nominated in writing by the appellant.
- b. For a corporate appellant or the Valuer General, the person who signed the statement of facts and issues or a person otherwise nominated in writing.
- The case is listed for a hearing review at [TIME, the first review after the date in order
   PLACE].
- 5. The case is listed for hearing commencing at [TIME & no earlier than 2 weeks after the hearing review & LOCATION].
- 6. If a party becomes aware of any circumstances that may prevent them from complying with a direction, they must notify the Land Court Registry and the other party, in writing, as soon as practicable, of the following matters:
  - a) any direction they cannot or may not be able to comply with;
  - b) the reason for the anticipated non-compliance; and
  - c) the party's proposal to remedy the non-compliance, including any proposed variation to the current directions.

## APPENDIX A – FAST TRACK DIRECTIONS

- 7. Either party may apply for review by giving at least two (2) business days' written notice to the Land Court Registry and to the other party of:
  - a) the proposed date for review;
  - b) the reasons for the request; and
  - c) the proposed directions.

## APPENDIX B – STANDARD DIRECTIONS

### Standard directions

- 1. By **4:00pm on [4 weeks from the date of the orders]**, the [appellant's name] must file in the Land Court Registry and serve on the Valuer-General its statement of facts and issues which must address the following matters:
  - a) the alleged error in the issued valuation;
  - b) the [site/unimproved] value of the land which [the appellant's name] seeks for the valuation;
  - c) the highest and best use of the land;
  - d) the valuation methodology adopted;
  - e) a list of comparable sales; and
  - f) details of any site-specific characteristics, including planning and site constraints that affect valuation.
- 2. By **4:00pm on [6 weeks from the date of the orders]**, the Valuer-General must file in the Land Court Registry and serve on [the appellant's name] its statement of facts and issues in response which must address the following matters:
  - a) the [site/unimproved] value of the land;
  - b) the highest and best use of the land;
  - c) the valuation methodology adopted;
  - d) a list of any sales proposed by [the appellant's name] which are accepted as comparable;
  - e) a list of any additional sales that were not referred to in [the appellant's name] list which are said to be comparable;
  - f) details of any site-specific characteristics, including planning and site constraints that were considered in determining the valuation; and

## APPENDIX B – STANDARD DIRECTIONS

- g) detail the reasons for its objection decision in relation to the land.
- 3. Unless otherwise ordered, neither party nor any expert witness may refer to or rely on any comparable sales that are not listed in the statement of facts and issues.
- 4. By **4:00pm on [8 weeks from the date of the orders]**, each party must deliver the following to the other party:
  - a) a list of all documents in their possession or control in the following categories [list them] which are directly relevant to the issues in dispute; and
  - b) a separate list of any of the documents for which they claim privilege from disclosure, and the basis for that claim.
- 5. By **4:00pm on [9 weeks from the date of the orders]**, each party must produce the listed documents for inspection or deliver copies of the listed documents as requested by the other party.
- 6. By **4:00pm on [9 weeks from the date of the orders]**, each party must file in the Land Court Registry and serve on any other party a written notice of the expert witnesses they intend to engage for the hearing. The notice must include the following details for each expert witness nominated:
  - a) the name of the expert witness;
  - b) their discipline or area of expertise; and
  - c) a short statement of each specific issue or assertion the expert witness will address.
- 7. The appeal is listed for review at [ASAP after the date for nomination of the experts].
- 8. Either party may apply for review by giving at least two (2) business days' written notice to the Land Court Registry and to the other party of:
  - a) the proposed date for review; and
  - b) the reasons for the request.

- 8. Either party may apply for review by giving at least two (2) business days' written notice to the Land Court Registry and to the other party of:
  - a) the proposed date for review; and
  - b) the reasons for the request.

# Procedure for Nomination of ADR Convenor or Process

**Practice Direction 4 of 2020** 

**President FY Kingham** 

**Issued 19th November 2020** 

- 1. This Practice Direction is issued pursuant to s 22(2) of the *Land Court Act 2000*. It details the procedure for the Judicial Registrar to nominate the *ADR Convenor* or process when the parties cannot agree.
- 2. The Practice Direction applies to statutory pre-filing ADR and ADR of matters filed in the Court.
- 3. The Court is committed to resolving disputes in a way that is accessible, fair, just, economical and efficient and aims to finalise requests and nominations promptly to enable the *ADR process* to commence without delay.
- 4. Where the parties cannot agree upon an ADR Convenor or Process, a party may request the Judicial Registrar to make the nomination.
- 5. The request must be:
  - a. In the prescribed form<sup>1</sup>
  - b. Emailed to ADRPanel.Landcourt@justice.qld.gov.au and
  - c. Provided to each other party at the same time.
- 6. Any party wishing to respond to the request, must:
  - a. Use the prescribed form<sup>2</sup>
  - b. Email the form to ADRPanel.Landcourt@justice.qld.gov.au and
  - c. Provide a copy to each other party at the same time.
- 7. The Judicial Registrar may request further information or submissions from the parties before making the nomination.

<sup>&</sup>lt;sup>1</sup> ADR Form 01 – Request for ADR Convenor / ADR Process

<sup>&</sup>lt;sup>2</sup> ADR Form 01A – Response to Request for ADR Convenor / ADR Process

## Nomination of ADR Convenor and/or ADR Process by Judicial Registrar

- 8. The Judicial Registrar will aim to provide the written nomination within 7 days of receiving the last material from the parties.
- 9. In making the nomination, the Judicial Registrar will take into account and consider the following:
  - a) the nature of the dispute
  - b) the type and complexity of the issues in dispute
  - c) the location of the parties and the subject land
  - d) the financial circumstances of the parties
  - e) any actual or perceived conflicts of interest
  - f) the availability and expertise of convenor's on the ADR panel
  - g) any other relevant matters raised by the parties.

## Words and meanings

ADR Convenor: A convenor is a member of the Land Court's ADR Panel.

ADR Process: Means a process of mediation or case appraisal.

Case Appraisal: Case appraisal is a form of ADR. An impartial person (the Case Appraiser) assists the parties to discuss and attempt to resolve their dispute by agreement. If the parties cannot agree, the Case Appraiser will make a non-binding decision. The parties may agree to abide by the decision or bring their dispute to the court. The Case Appraiser must keep the discussions confidential and the parties cannot use what is said or done during a case appraisal in a court case.

Case Appraiser: The person undertaking a case appraisal.

*Mediation:* Mediation is a form of ADR. An impartial person (the Mediator) assists the parties to discuss and attempt to resolve their dispute by agreement. The Mediator must keep the discussions confidential and the parties cannot use what is said or done during a mediation in a court case.

Mediator: the person who undertakes a mediation is known as the Mediator.

Statutory Pre-Filing ADR: is ADR conducted in accordance with a statutory requirement that ADR be undertaken by the parties as a pre-condition to the commencement of litigation in respect of the dispute.

#### AMENDED PRACTICE DIRECTION NUMBER 1 of 2018

#### LAND COURT OF QUEENSLAND

#### **ADR PANEL MEDIATION**

- 1. This Practice Direction, issued pursuant to s 22(2) of the *Land Court Act 2000*, defines the process for court-supervised mediations by a Convenor from the Court's ADR Panel. It supplements s 37 of the *Land Court Act 2000* and Part 6 of the *Civil Proceedings Act 2011*.
- 2. A mediation conducted under this Practice Direction is an *ADR Panel mediation* and the person who conducts it is the *Mediator*.

#### **Background**

- 3. The Court is committed to resolving disputes in a way that is accessible, fair, just, economical and efficient. To further that objective, the Court may direct parties to engage in either private or court-supervised mediation.
- 4. This Practice Direction applies only to an ADR Panel mediation and does not apply to:
  - a. a Preliminary Conference held in an appeal relating to a valuation of land (Practice Direction 1 of 2021<sup>i</sup>); or
  - b. a Mediation by a Member or the Judicial Registrar of the Court (Practice Direction 3 of 2017).

#### **Mediation Order**

- 5. The Court may order<sup>1</sup> an ADR Panel mediation at any stage of any case before the Court,<sup>2</sup> whether the Court is fulfilling a judicial or an administrative function.
- 6. In deciding whether to order an ADR Panel mediation, the Court will consider factors including:
  - a. the nature and scope of the issues in dispute;
  - b. the stage the case has reached;
  - c. the resources of the parties; and
  - d. the views of the parties.
- The Court makes its order pursuant to s 43 of the *Civil Proceedings Act 2011*.
- Before a party has filed a case in the Court, the parties to a dispute within the jurisdiction of the Court may agree to use a Convenor from the ADR Panel to mediate the dispute (pre-filing mediation). The parties may agree:
  - (a) to use the mediation procedure set out in this Practice Direction; and
  - (b) to use a Convenor from the ADR Panel as agreed between them or as nominated by the Registrar.

The Court does not make an order for pre-filing ADR. The parties must arrange for pre-filing ADR through the Registrar and the Convenor.

7. The Mediator for an ADR Panel mediation must be an ADR Panel Convenor selected by the parties, if they agree, or by the Registrar, in consultation with the parties, if they do not agree.

#### Participation in the mediation

- 8. Participation in an ADR Panel mediation is under the direction and control of the Mediator. The parties must participate in good faith and must not impede the mediation.<sup>3</sup>
- 9. Unless the Mediator otherwise allows, a party must attend in person, with or without their legal or other representative.
- 10. A party will not be relieved of the requirement to attend in person unless:
  - a. they will be represented by a person with full authority to settle the case; or
  - b. if the party is a government agency, it will be represented by a person with authority to recommend the settlement for approval by an authorised delegate; or
  - c. for any other party, the party informs the Mediator of the process for endorsing a settlement and, after consulting with the other parties, the Mediator considers it does not present an unacceptable limitation on the mediation.
- 11. Where appropriate, and after consulting all parties to the Mediation, the Mediator may allow:
  - a. other persons to also attend, such as expert witnesses; and
  - b. participation by telephone, video or other remote access.

#### **Intake process**

- 12. The Registrar will provide the Mediator with access to the filed document index.
- 13. The Mediator will contact each party to discuss arrangements for the mediation, including:
  - a. date, time, venue, and period allocated for the mediation (if not already fixed);
  - b. preparatory meetings with all or some of the participants;
  - c. the scope, format and nature of information about the dispute to be provided to the Mediator;
  - d. any special requirements (such as cultural and language, physical access, audio-visual or other IT needs);
  - e. any requests by a party not to attend in person (see [10]);
  - f. any requests about participation in the mediation (see [11]);
  - g. any other requests about mediation arrangements made by a party; and
  - h. arrangements for payment of the Mediator's fee.
- 14. If the Mediator considers co-mediation will enhance the prospects of reaching agreement, they may recommend the parties agree to engage a co-mediator. The co-mediator must be a Convenor on the ADR Panel with specialist expertise relevant

Section 44 of the *Civil Proceedings Act 2011*.

to the dispute. If the parties agree to appoint a co-mediator, the mediation order and this practice direction will bind the co-mediator.

#### **Confirmation of mediation process**

- 15. Following intake, the Mediator will advise the parties in writing of the arrangements for the mediation, including any preconditions, expectations or particular requirements of the Mediator, and, in particular:
  - a. who will participate, how many people may attend with a party and what are their roles:
  - b. whether a party is required to provide a confidential summary about the issues raised in the case and how they would like the case to be resolved; and if so, by what date;
  - c. what other material, if any, should be provided by any party and by what date;
  - d. confirmation of the process by which a party who does not attend the mediation in person will endorse an agreement negotiated at the mediation.

#### Without prejudice and confidential process

- 16. An ADR Panel mediation is conducted on a without prejudice basis. The law relating to without prejudice communications governs information shared and documents prepared for the mediation.
- 17. The Mediator, the parties, and all other participants must respect the confidentiality of the mediation.<sup>4</sup>
- 18. If the case does not resolve at mediation, no person may give evidence at the hearing of anything done or said or any admission made at the mediation, unless all parties agree.<sup>5</sup>
- 19. Following completion of the mediation, the Mediator must destroy all materials provided to or prepared by or for the Mediator for the sole purpose of the mediation, whether or not the case is resolved.<sup>6</sup>

#### Meeting separately with the parties – private meetings

- 20. Mediation styles and practices may differ between Mediators and between cases. The Mediator may hold private meetings with the parties, at the Mediator's discretion, taking into account the nature and circumstances of the case.
- 21. If a Mediator does hold private meetings with the parties, the Mediator will not disclose to any other party or participant any information provided during a private meeting, without the express authority of the informing party.

The Mediator must maintain confidentiality with limited exceptions; see s 54 of the *Civil Proceedings Act 2011*.

<sup>&</sup>lt;sup>5</sup> Section 53 of the *Civil Proceedings Act 2011*.

This obligation does not extend to business and taxation records, such as the mediation agreement and invoices.

#### Adjournment and request for directions

- 22. The Mediator may adjourn a mediation to another date, but must advise the Registrar in writing of the date to which the mediation is adjourned.
- 23. The Mediator or any party may make a written request for further directions from the court about arrangements for the mediation.
- 24. The Member managing the case will consider the request and provide a written response to the Mediator and the parties.

#### **Termination**

- 25. The Mediator may terminate a mediation if:
  - a. the Mediator considers there is no utility in continuing; or
  - b. the Mediator believes (on information that provides a reasonable basis for the belief) that a party is or was engaging in illegal, improper or unethical conduct in the mediation, or in the case generally.
- 26. The Mediator must advise the parties before terminating the mediation but is not required to give reasons for doing so.

#### If the case is resolved by agreement

- 27. If agreement is reached about some or all issues, the Mediator will discuss with the parties whether the agreement will be:
  - a. reduced to consent orders to be proposed to the court;
  - b. recorded in a private agreement prepared and finalised by the parties; or
  - c. documented in some other way.
- 28. In any case, the Mediator must file a Mediator's Certificate in the approved form.
- 29. If the parties propose consent orders, the Mediator must include them in or attach them to the Mediator's Certificate. The Member managing the case will consider the proposed consent orders and either:
  - a. make the orders by consent on the papers; or
  - b. if they consider it necessary to change or refine the orders, hear from the parties before doing so.

#### If the case is not resolved by agreement

- 30. Paragraphs [31] to [34] apply if the case does not completely resolve at mediation.
- 31. If the parties do not reach an agreement which finally disposes of the case, the Mediator will discuss options for the further conduct of the case and seek agreement about procedural matters<sup>7</sup> that will facilitate a fair, efficient and effective hearing, including:

The Mediator will use the current Practice Directions and Model Directions, if any, to facilitate agreement about procedural matters.

- a. The contents of a statement of agreed facts;
- b. The contents of an agreed list of issues of fact or law;
- c. The expert witness procedure;
- d. A proposed schedule for the parties to file witness statements and other evidence;
- e. Arrangements for the hearing, including whether it should be an oral hearing or not and whether there is a preliminary point that the court could decide before it holds a full hearing.
- 32. Whether the parties agree about procedural matters or not, the Mediator must file a Mediator's Certificate in the approved form.
- 33. If the parties agree on any procedural matters, the Mediator must specify the agreement in the Mediator's certificate and attach any agreed documents (such as statements of agreed facts or list of issues of fact and law).
- 34. The Member managing the case may:
  - a. make directions, on the papers, in accordance with the parties' agreement; or
  - b. if they consider it necessary to change or refine the procedure proposed by the parties, hear from the parties before doing so.

#### Commencement

35. This Amended Practice Direction takes effect from 06 October 2021.

Fleur Kingham President 15/10/2021

i Removed reference to PD 2 of 2015 and inserted reference to PD 1 of 2021

## AMENDED PRACTICE DIRECTION NUMBER 3 of 2017 LAND COURT OF QUEENSLAND

#### JUDICIAL MEDIATION

- 1. This Practice Direction, issued pursuant to s 22(2) of the *Land Court Act 2000*, defines the process for court supervised mediations. It supplements and should be read in conjunction with s 37 of the *Land Court Act 2000* and Part 6 of the *Civil Proceedings Act 2011*.
- 2. It does not apply to Preliminary Conferences held in appeals relating to valuations of land, which are governed by Practice Direction 1 of 2021<sup>i</sup>.
- 3. A mediation conducted under this Practice Direction is referred to as a *court supervised mediation* and the person who conducts it is referred to as the *Mediator*.

#### **Background**

- 4. The Court is committed to resolving disputes in a way that is accessible, fair, just, economical and efficient.
- 5. The use of private mediation is an important means to fulfil that objective. Parties are encouraged to consider private mediation at any stage of any case before the Court.
- 6. To further the Court's objective, the Court may direct parties to engage in either private or court supervised mediation. The Mediator for a court supervised mediation will be either a Member or Judicial Registrar of the Court.
- 7. Whether the mediation is a private or a court supervised mediation, the order is made pursuant to ss 43 and 44 of the *Civil Proceedings Act 2011*. However, this Practice Direction applies only to court supervised mediation.
- 8. Court supervised mediation may be ordered at any stage of any case before the Court; whether the Court is fulfilling a judicial or an administrative function.
- 9. In deciding whether to direct court supervised mediation, the Court will consider factors including:
  - a. the nature and scope of the issues in dispute;
  - b. the stage the case has reached;
  - c. the resources of the parties; and
  - d. the views of the parties.

#### Participation in the mediation

- 10. Participation in a court supervised mediation is under the direction and control of the Mediator. Parties are expected to participate in good faith and must not impede the mediation.<sup>1</sup>
- 11. Unless the Mediator otherwise allows, a party must attend in person, with or without their legal or other representative.
- 12. A party will not be relieved of the requirement to attend in person unless:
  - a. they will be represented by a person with full authority to settle the case; or
  - b. if the party is a government agency, it will be represented by a person with authority to recommend the settlement for approval by an authorised delegate; or
  - c. for any other party, the Mediator is informed of the process for endorsing a settlement and, after consulting with the other parties, considers it does not present an unacceptable limitation on the mediation.
- 13. Where appropriate, the Mediator may allow:
  - a. other persons to also attend, such as expert witnesses; and
  - b. participation by telephone, video or other remote access.

#### **Intake process**

- 14. The Mediator or a court officer will contact each party to discuss arrangements for the mediation, including:
  - date, time, venue, and period allocated for the mediation (if not already fixed);
  - any special requirements (such as cultural and language, physical access, audiovisual or other IT needs);
  - who the parties wish to participate in the mediation, including expert witnesses or other advisors;
  - any requests relating to representation at the mediation (see paragraph 12); and
  - any requests about mediation arrangements made by any other party.

#### **Confirmation of mediation process**

- 15. Following intake, the Mediator will approve arrangements for the mediation, which will be provided in writing to the parties.
- 16. The arrangements will deal with any preconditions, expectations or particular requirements of the Mediator, and will include:
  - a. who will participate, how many people may attend with a party and what are their roles:
  - b. whether a party is required to provide a confidential statement about the issues raised in the case and how they would like the case to be resolved; and if so, by what date;
  - c. what other material, if any, must be provided by any party and by what date;

Section 44 of the *Civil Proceedings Act 2011*.

d. whether a party has leave to be represented by a person without authority to commit and, if so, confirmation of that party's process for endorsement of an agreement negotiated at the mediation.

#### Without prejudice and confidential process

- 17. A court supervised mediation is conducted on a without prejudice basis. Information shared and documents prepared for the mediation are governed by the law relating to without prejudice communications.
- 18. The Mediator, the parties, and all other participants are expected to respect the confidentiality of the mediation.<sup>2</sup>
- 19. If the case does not resolve at mediation, evidence may not be given at the hearing of anything done or said or any admission made at the mediation, unless all parties agree.<sup>3</sup>
- 20. Following completion of the mediation, whether the case is resolved or not, the Mediator must destroy all materials provided to or prepared by or for the Mediator for the sole purpose of the mediation.

#### Meeting separately with the parties – private meetings

- 21. Mediation styles and practices may differ between Mediators and between cases. Whether a Mediator holds private meetings with the parties is at the Mediator's discretion and will depend upon the nature and circumstances of the case.
- 22. If a Mediator does hold private meetings with the parties, the Mediator will not disclose to any other party or participant any information provided during a private meeting, without the express authority of the informing party.

#### Adjournment

23. A Mediator may adjourn a mediation to continue at a later date.

#### Agreement

- 24. If agreement is reached about some or all issues, the Mediator will discuss with the parties whether the agreement will be:
  - a. reduced to consent orders to be proposed to the Court;
  - b. recorded in a private agreement prepared and finalised by the parties; or
  - c. documented in some other way.
- 25. If the parties propose consent orders, the Member managing the case will consider the orders proposed by the parties and, if they consider it necessary to change or refine the orders, will hear from the parties before doing so.

The Mediator is bound by a requirement of confidentiality with limited exceptions; see s 54 of the *Civil Proceedings Act 2011*.

Section 53 of the *Civil Proceedings Act 2011*.

#### **Termination**

- 26. A Mediator may terminate a mediation if:
  - a. the Mediator considers there is no utility in continuing; or
  - b. the Mediator believes (on information that provides a reasonable basis for the belief) that a party has engaged or is engaging in illegal, improper or unethical conduct in the mediation, or in the case generally.
- 27. A Mediator is not required to give reasons for terminating the mediation.

#### Further conduct of the case

- 28. Paragraphs [29] to [35] apply if the case does not completely resolve at mediation.
- 29. The Mediator must file a Mediator's Certificate in the approved form.
- 30. After consultation with the parties, the Mediator may include in their Mediator's Certificate recommended directions for the further conduct of the case. The Member who is managing the case will consider whether to make the recommended directions and will notify the parties of their decision.
- 31. The parties may request the Mediator to hear and determine the case or one or more issues raised in the case. The request must:
  - a. be in writing and signed by all parties;
  - b. specify what is to be determined; and
  - c. record the parties' agreement to be bound by the Mediator's determination.
- 32. The Mediator cannot agree to the request unless it is made by all parties.
- 33. The Mediator can decline the request and is not required to give reasons for doing so.
- 34. If the Mediator agrees to the request, the Mediator will give directions about the procedure for the case or issue(s) to be determined, including whether there will be an oral hearing or whether it will be determined on documents filed with the Court.
- 35. Other than as provided for in paragraphs 31 to 34, should the case proceed past mediation to hearing in the Land Court or the Land Appeal Court, the Court as constituted for the case will not include the Mediator.

#### Commencement

36. This Amended Practice Direction takes effect from 06 October 2021.

Fleur Kingham President 15/10/2021

<sup>&</sup>lt;sup>i</sup> Removed reference to PD 2 of 2015 and inserted reference to PD 1 of 2021

## **Expert evidence in the Land Court**

**Practice Direction 6 of 2020** 

President Fleur Kingham Amended 6 April 2022

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#### Introduction

- 1. This Practice Direction
  - a) provides an overview of the procedures for expert evidence in the Land Court
  - b) explains the duties of experts and the parties who instruct them
  - c) outlines the Court's expectations of experts giving evidence in the Land Court
  - d) repeals and replaces Practice Directions 2 of 2017 and 3 of 2018 and the Guidelines for Expert Evidence in the Land Court.
- 2. An expert is a person who would, if called as a witness in a proceeding, be qualified to give opinion evidence as an expert witness in relation to the issue.<sup>1</sup>
- 3. An expert assists the Court on matters of a technical and specialist nature by providing their objective and impartial opinion, drawing on their knowledge and experience. The Court expects experts to be independent, impartial, well informed, to observe the limits of their expertise, and to comply with the requirements of the Land Court Rules.<sup>2</sup>
- 4. A person who is:
  - a) a party to the case; or
  - b) a person whose conduct is in issue in the case;

is not an expert even if they possess the relevant expertise.

5. Notwithstanding paragraph 4, if a public entity is a party to the case, it may nominate a qualified person in its employ to be an expert, provided the nominee confirms they understand and will comply with their duty to the Court.

#### Example-

The Valuer-General may nominate a valuer in the State Valuation Service as their expert in a land valuation appeal.

<sup>&</sup>lt;sup>1</sup> Land Court Rules 2022 r 16.

<sup>&</sup>lt;sup>2</sup> Ibid r 20(3).

## Overview of Procedures for Expert Evidence

- 6. The Court's objective is to make timely, efficient, fair, and effective use of expert evidence. It will make directions on a case-by-case basis, taking into account the resources and preferences of the parties, and the nature, scope, and complexity of the issues in the case.
- 7. The procedures for expert evidence involve the following steps
  - a) The parties identify the issues for the hearing;
  - b) The parties nominate their experts;
  - c) The Court decides what procedure will apply, in consultation with the parties;
  - d) The parties brief the experts;
  - e) If only one party nominates an expert on an issue, the expert will produce a report;
  - f) If more than one party engages an expert on one or more issues, those experts will attend a meeting of experts and produce a joint report.<sup>3</sup>
- 8. If a party contends an expert nominated by another party is not qualified to give expert opinion evidence, they must notify all parties and the Court, as soon as practicable, so that the question can be dealt with as a preliminary matter.
- 9. The Court's directions for expert evidence may include directions that<sup>4</sup>
  - a) fix the number of experts who may be called to give evidence on a particular issue or for a particular area of expertise;
  - b) limit the issues on which expert evidence may be led;
  - c) limit the number of reports an expert may file;
  - d) settle the issues or questions the experts must address;
  - e) schedule meetings of experts;
  - f) set dates for filing and service of experts' reports;
  - g) direct the case to Court Managed Expert Evidence (CMEE);

<sup>&</sup>lt;sup>3</sup> Ibid Part 3 Division 5 Subdivision 3.

Land Court Rules 2000 r 19; Land Court Act 2000 s 22; Land Court Model Directions.

h) fix how and when experts will give oral evidence.

### The Duty of an Expert

10. The primary duty of an expert is to assist the Court. This duty overrides any obligation an expert may have to any party, any person who engaged the expert to give evidence, or any person paying their fee or expenses.<sup>5</sup> An expert must not accept (and a person must not give) instructions to give any particular evidence or opinion about the evidence.<sup>6</sup>

#### 11. Experts must also-

- a) understand and comply with their duty to assist the Court;
- b) refrain from acting as an advocate for a party;
- c) comply with the Court's directions;
- d) read the Land Court Rules 2022 ('Rules') and this Practice Direction;
- e) know what issues they are being asked to consider;
- f) identify if they need further information or instruction in order to give their opinion;
- g) confine their opinion and their evidence to the issues relevant to their area of expertise;
- h) expose the facts, assumptions, methodology, and reasoning that supports their opinion;
- i) fully engage in a meeting of experts, if required;
- j) ensure their contribution to a joint report, if required, complies with this Practice Direction; and
- k) be prepared to change, qualify, or revise their opinion where necessary and where the evidence no longer supports their opinion;
- I) if they change an opinion expressed in a report filed with the Court, explain what factors or information resulted in that change of opinion.

Land Court Rules 2022 r 20. The Land Court expects an expert to fully understand and comply with their duty to the Court. The President of the Court of Appeal has explained the full extent of that duty – "This duty may require a level of candour and voluntary disclosure on the part of an expert witness that might involve prejudicing the case of the party that called the expert witness." (Alliance Insurance Limited v Mashaghati [2017] QCA 127 [90]).

<sup>6</sup> Land Court Rules 2022 r 21(2).

## Nominating the Expert

- 12. The Court requires each party to identify their case in enough detail to allow any other party to understand the case made against them or the case they must prepare to meet.
- 13. Once the issues are identified, the parties must file and serve on any other party a written notice of the experts they intend to engage for the hearing.
- 14. Unless granted leave by the Court, a party cannot engage more than one expert for each area of expertise.
- 15. The notice must include, for each expert nominated
  - a) the name of the expert;
  - b) the contact details of the expert;
  - c) their discipline or area of expertise;
  - d) a short statement of each specific issue or assertion the expert will address; and
  - e) confirmation the expert can take part fully, properly, and promptly in the Court process.
- 16. Parties should consider asking an expert they intend to nominate to identify whether they require evidence from an expert with another area of expertise in order to express their opinion.

#### Example-

In a land valuation appeal or a claim for compensation for resumption of land, a valuer may need advice from other experts, such as town planners and quantity surveyors, to provide an opinion in the case.

## Single Expert

- 17. If only one party nominates an expert in an area of expertise, that party must brief the expert. The brief must contain<sup>7</sup>
  - a) copies of any orders that involve that expert;
  - b) notice of the issues in dispute relevant to that expert's area of expertise;
  - c) enough information and opportunity to investigate the facts in relation to the issues in dispute; and
  - d) this Practice Direction.
- 18. The filed report from that expert
  - a) must comply with the requirements of the Rules; and
  - b) will be the evidence in chief of the expert at the hearing unless the Court orders otherwise.<sup>8</sup>

<sup>&</sup>lt;sup>7</sup> Ibid r 21(1)(b).

<sup>8</sup> Ibid r 29.

## More than one expert in an area of expertise

- 19. If more than one party nominates an expert in an area of expertise, unless otherwise ordered, the parties must provide a consolidated brief of instructions to all those experts that—9
  - a) contains the material in paragraph 17;
  - b) identifies any issues that any party considers the experts need to address; and
  - c) includes any information or documents that any party considers relevant to those issues.
- 20. Including information or a document in a consolidated brief of instructions is without prejudice to the parties' rights to object at the hearing to the admission into evidence of
  - a) all or part of any information or document in the consolidated brief of instructions; and
  - b) any evidence relating to the disputed information or document.
- 21. Where an expert's opinion might differ depending on the resolution of a factual or legal issue, the parties must brief the experts to
  - a) provide their opinion based on all potential resolutions of those issues, not just on those contended for by that party; and
  - b) consider whether a preliminary determination of a factual or legal issue by the Court might result in greater clarity and efficiency in preparing their evidence.

## Meetings of Experts

#### PURPOSE OF MEETINGS OF EXPERTS

- 22. At a meeting of experts, they must:
  - a) discuss and clarify the issues;
  - b) identify any areas of agreement about their evidence;
  - c) discuss and seek to resolve any areas of disagreement; and
  - d) prepare a joint report.

#### HOW MEETINGS OF EXPERTS ARE CONDUCTED

- 23. The parties and experts must manage the meeting process in accordance with this

  Practice Direction and the directions for the case. A meeting of experts starts when the
  experts first meet, whether in person or otherwise, and ends when they provide their
  signed joint report to the parties. A meeting of experts may occur over several sessions.
- 24. The experts are required to discuss and attempt to reach agreement about their evidence in relation to an issue in dispute as it relates to their area of expertise. <sup>10</sup> They must explore the factors that underlie their conclusions and squarely address any differences between them.
- 25. The experts must consider the following during their discussions and in preparing their joint report
  - a) Do they have the same information?
  - b) If not, does any new information affect their opinion?
  - c) Do they need any more information or instruction from the parties?
  - d) Do they need an opinion or instruction on a matter outside their area of expertise?
  - e) Are they using the same methodology and in the same way?
  - f) If not, why not?

- g) What scenarios are presented in the brief of instructions or in preceding expert reports (if any)?
- h) How should they address the possible outcomes from those scenarios?
- i) What are the points of agreement?
- j) For any disagreements:
  - i. Do they affect the decision that the Court has to make?
  - ii. Are they about:
    - a. the existence, relevance, or validity of an asserted or assumed fact;
    - b. what methodology that should be used to deal with the issue;
    - c. how that methodology should be applied;
    - d. the conclusions that arise from applying the methodology to the facts or assumptions; or
    - e. something else?
- k) How will they draft and finalise the joint report and by when?
- 26. The experts must refrain from conducting independent inquiries unless they have:
  - a) advised the parties of their intention to do so together with an estimate of the cost and time required to undertake those inquiries;
  - b) shared the results of their inquiries with all other experts within the same area of expertise before the conclusion of the meeting of experts.

#### COMMUNICATIONS WITH THE PARTIES AND OTHER EXPERTS

- 27. Once the experts have commenced their meeting of experts, any communication from them to the parties must be in accordance with rule 26 of the Rules.
- 28. If the request raises a matter that requires direction or a ruling from the Court, an expert or a party may ask the Court to list the case for review.

### The Joint Report

- 29. When parties have nominated more than one expert in an area of expertise, the experts must produce a joint report.
- 30. The applicant/appellant must file and serve the joint report as soon as practicable or as directed by the court.<sup>11</sup>
- 31. The joint report is the primary report of the experts who author it.
- 32. Unless the Court gives leave to file a further expert report, the joint report is the experts' statement of evidence for the hearing.<sup>12</sup>
- 33. The joint report must, for each expert who is an author, comply with the Rules.
- 34. The joint report must also
  - a) Include an executive summary that states, for each issue on which they have been asked to give an opinion:
    - a. the matters on which they agree;
    - the matters on which they disagree but which are not material to their conclusions;
    - c. the matters of disagreement which are material to their conclusions, and short reasons for their disagreement.
  - b) For each matter of disagreement that is material to their conclusions, state how their conclusions would differ if the Court resolved the disagreement against their view on the matter.
- 35. In drafting their report, the experts must consider whether they have fully explained their opinions and the reasons for those opinions. They cannot raise a new matter in a further report or in their evidence in chief, without leave of the Court. Experts also cannot give

<sup>&</sup>lt;sup>11</sup> Ibid r 24.

<sup>&</sup>lt;sup>12</sup> Ibid r 29.

expert evidence that is contrary to the opinion expressed in their reports unless the court gives leave.  $^{13}$ 

<sup>&</sup>lt;sup>13</sup> Ibid r 29(5).

## Court Managed Expert Evidence (CMEE)

#### THE DECISION TO DIRECT A MATTER TO CMEE

- 36. CMEE allows the Court to supervise the briefing and meeting of experts and production of their joint report.<sup>14</sup>
- 37. The Court's objective in directing a case to CMEE is to promote an effective, efficient, and fair process for expert evidence, which reinforces
  - a) the duty of the parties to brief the experts and prepare them to fulfill their role; and
  - b) the duty of experts to provide the Court with relevant and impartial evidence within their area of expertise.
- 38. Unless otherwise ordered, CMEE will commence with a case management conference and conclude when the Court directs.
- 39. The Court will consider whether to direct a case to CMEE on a case-by-case basis.
- 40. The Court will consider directing a case to CMEE if
  - a) The parties nominate multiple experts; or
  - b) The case involves complex issues on which expert evidence will be required; or
  - c) The evidence of experts in one or more areas of expertise will impact on the evidence of other experts; or
  - d) The case has a history of non-compliance with the *Rules* or with directions made by the Court.

#### THE CMEE CONVENOR

- 41. The CMEE Convenor must be a Member or Judicial Registrar of the Court.
- 42. The role of the CMEE Convenor is procedural. Their role is to-15
  - a) to work with the parties to manage the process for experts to meet and provide a joint report for the Court;
  - b) to work with the experts to ensure their joint report assists the Court to resolve an issue in dispute as it relates to their area of expertise; and
  - c) to assist the parties in case management.
- 43. The CMEE Convenor must perform their role in consultation with the parties and must ensure any disputes that arise during CMEE are resolved
  - a) by agreement between the parties and or experts; or
  - b) by direction of the Court.
- 44. The CMEE Convenor cannot decide any substantive issue or procedural dispute in the case and cannot preside at an oral hearing, final hearing, or appeal from a decision made in the case. 16
- 45. Unless all parties agree in writing, and the CMEE Convenor agrees, the Court cannot appoint a CMEE Convenor to act as a Mediator in the case.

#### THE POWERS OF THE CMEE CONVENOR

- 46. At the request of a party or on their own initiative, the CMEE Convenor may do any of the following
  - a) convene a case management conference;
  - b) make procedural directions, with the consent of all parties including directions as to the management of the case.
  - c) discuss whether there are issues that require further direction from the Court;

<sup>.5</sup> Ihid.

<sup>&</sup>lt;sup>16</sup> Ibid r 27(2).

- d) convene and chair a meeting of experts;
- e) list the case for review.
- 47. The CMEE Convenor may convene one or more case management conferences or meetings of experts, at the request of one or more of the parties and the experts, or on their own initiative.

#### REPORTS BY THE CMEE CONVENOR

- 48. The CMEE Convenor must give a written report to the Court, if
  - a) the CMEE may not conclude in time for the hearing to proceed on the dates listed or reserved in the Court calendar;
  - b) consent directions alter any previous order made by the Court;
  - the parties cannot agree on how an issue affecting the expert evidence should be resolved or managed;
  - d) the parties request the CMEE Convenor to do so;
  - e) the Court requests a report;
  - f) the CMEE Convenor considers it appropriate.
- 49. Before reporting to the Court, the CMEE Convenor must
  - a) provide the parties with a draft report; and
  - b) give the parties an opportunity to comment on the report before it is finalised.

#### **CMEE REPORTS**

50. As soon as practicable after they are made, the CMEE Convenor must place a CMEE report on the Court file.

#### CASE MANAGEMENT CONFERENCES

- 51. Usually, the CMEE will commence with a case management conference.
- 52. The CMEE Convenor must not meet with a party in the absence of any other party, unless all parties agree.
- 53. During a case management conference, the CMEE Convenor may assist the parties to do all or any of the following
  - a) identify and clarify the issues in dispute;
  - b) decide which issues will require expert evidence;
  - c) identify which experts should produce joint reports and on which issues;
  - d) determine the sequence in which meetings of experts should take place;
  - e) establish, manage, and adjust the timetable for briefing experts, meetings of experts, and joint reports;
  - f) ensure the experts have the information they need to fulfil their function;
  - g) prepare a consolidated brief to the experts, including fixing the issues or questions they will be asked to address;
  - h) make arrangements for providing secretarial and administrative assistance for the experts;
  - i) communicate with experts after they have commenced their meeting of experts;
  - j) as joint reports are filed, consider whether those reports have consequences for the management of evidence by other experts;
  - k) agree upon directions for case management.

#### RESTRICTION ON DISCLOSURE ABOUT CASE MANAGEMENT CONFERENCES

54. Except by a written report as provided for by this Practice Direction, the Convenor must not disclose anything done or said, or an admission made, at a case management conference unless required by law.

- 55. Evidence of anything done or said, or an admission made, at a case management conference is not admissible at any stage in the case, another case in the Land Court or in a civil proceeding unless—<sup>17</sup>
  - a) all parties agree it may be admitted into evidence; or
  - b) it is evidence about consent to a direction made at a case management conference; or
  - c) it is relevant to a civil proceeding founded on fraud alleged to relate to, or to have happened during, the conference.

#### THE CMEE CONVENOR CHAIRS THE MEETING OF EXPERTS

- 56. The CMEE Convenor will chair a meeting of experts convened during a CMEE, unless the CMEE Convenor, in consultation with the parties, considers it is unnecessary to do so.
- 57. The role of the CMEE convenor in chairing a meeting of experts is to facilitate the experts to
  - a) discuss and attempt to reach agreement on their evidence in relation to an issue in dispute as it relates to their area of expertise; and
  - b) prepare a joint report.
- 58. The CMEE Convenor will ensure that the experts understand
  - a) the expert's duty to the Court;
  - b) the CMEE process;
  - c) the Court's expectations of an expert in their oral and written evidence; and
  - d) the Court's procedures for taking oral evidence from experts.
- 59. In consultation with the experts, and subject to any directions by the Court, the CMEE Convenor may fix dates, times, and venues for meetings, including resumed or further meetings, and may provide reasonable access to Court facilities for the meetings.

- 60. The CMEE Convenor must chair the meeting in a way that allows and encourages all participants to engage in a comprehensive and professional discussion of their evidence.
- 61. The CMEE Convenor must not
  - a) give an expert witness legal advice on any matter; or
  - b) attempt to influence an expert witness to adopt or reject a particular opinion;
  - c) meet with any expert attending a meeting of experts unless all the experts attending that meeting participate.

## COMMUNICATIONS BETWEEN EXPERT WITNESSES AND THE PARTIES OR OTHER EXPERT WITNESSES

- 62. The CMEE Convenor will manage the communications between the experts participating in a meeting of experts and the parties, and other experts engaged by the parties, to—<sup>18</sup>
  - a) seek further information;
  - b) clarify instructions;
  - c) understand the evidence of other experts engaged by the parties.
- 63. The CMEE Convenor must keep the parties informed of the current schedule of meetings of experts and any changes to it.

#### JOINT REPORT

- 64. The CMEE Convenor may assist the experts to-
  - a) check they have addressed all issues identified in their brief or have explained why they cannot do so;
  - b) confirm they have not expressed an opinion outside their area of expertise;

- c) check they have addressed all scenarios arising from the issues and from the evidence
  of other experts, to the extent that evidence is relevant to the issues they must
  address;
- d) check they have each considered the underlying facts, assumptions, methodologies, and conclusions of any other expert included in the report;
- e) check that, to the extent they disagree on the matters in (d) above, they each explain
  - i. why they disagree; and
  - ii. what their evidence would be if the Court accepted the evidence of the other expert on any of those matters; and
- f) identify aspects of their evidence they may need to clarify so the Member who will conduct the hearing can understand it.

# RESTRICTION ON DISCLOSURES ABOUT MEETINGS OF EXPERTS

- 65. The CMEE Convenor must not disclose anything done or said, or an admission made, during a meeting of experts unless all expert witnesses agree or unless required by law.
- 66. Evidence of anything done or said, or an admission made at a meeting of experts is not admissible at any stage in the case, in another case in the Land Court, or in a civil proceeding unless—<sup>19</sup>
  - a) all parties agree it may be admitted into evidence; or
  - b) it is relevant to a civil proceeding founded on fraud alleged to be connected to, or to have happened during, the meeting.

# Concurrent Evidence

- 67. Unless otherwise ordered, experts will give their evidence concurrently.
- 68. Evidence is given concurrently when two or more experts in the same or closely related areas of expertise give evidence at the same time.
- 69. The Court may direct experts to give their evidence consecutively.
- 70. Evidence is given consecutively when each expert in the same or closely related areas of expertise gives their evidence individually, in turn.

### SETTING THE AGENDA FOR A CONCURRENT EVIDENCE SESSION

- 71. The parties must jointly propose a draft agenda for concurrent evidence by the date directed by the hearing Member.
- 72. The agenda must
  - a) identify the areas of disagreement between the experts upon which a party wishes to ask questions; and
  - b) identify the parts of the joint report that relate to each agenda item.
- 73. The Member will settle the agenda and may direct that specified topics are dealt with before or after the concurrent evidence session.

# OVERVIEW OF PROCEDURE FOR A CONCURRENT EVIDENCE SESSION

- 74. The experts are sworn or affirmed at the same time.
- 75. Usually, concurrent evidence will occur with all experts present in person and seated together. However, a Member may approve an expert participating by telephone or video link.
- 76. The Member will use the agenda to facilitate a discussion in which-
  - a) the experts, the parties and their representatives, and the Member will all participate;

- b) all experts have an adequate opportunity to express their opinion on each topic and to comment on another expert's opinion;
- c) parties can ask relevant questions of any expert in relation to each topic;
- d) any consensus between the experts is clarified and noted.
- 77. The experts may comment on what another expert says or ask questions of each other.
- 78. The parties may ask questions of all experts to ensure all relevant information and opinions are clarified and tested.
- 79. A Member may adjourn or terminate a concurrent evidence session if they consider it is in the interests of justice to do so.

# **Procedure for Mining Objection Hearings**

**Practice Direction 4 of 2018** 

President Fleur Kingham Amended 7 April 2020

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# Introduction

- 1. This Practice Direction is issued pursuant to s 22(2) of the *Land Court Act 2000* and repeals and replaces the Practice Directions specified in Appendix A, to the extent stated in that appendix.
- 2. The Practice Direction explains the procedure the Court will use to conduct a *Mining Objection Hearing* (MOH) in a way that is accessible, fair, just, economical, and expeditious.
- 3. Terms that appear in italics in the Practice Direction are defined in the Words and Meanings section of the document.

# Overview of a Mining Objection Hearing (MOH)

# WHAT IS A MOH?

4. The Court must conduct a MOH if any person objects to an application for a mining claim, a mining lease, or an *environmental authority* relating to a mining lease. If there are *objections* to both an application for a mining lease and a related environmental authority, the Court will hear the objections to both applications in a *combined MOH*.

### WHAT IS THE COURT'S ROLE?

- 5. A MOH is not an *appeal* against or *judicial review* of a decision on an application. It is part of the decision-making process.
- 6. The Court makes a recommendation to the *decision-maker*, after hearing the application and any objection and considering *statutory criteria*.
- 7. The Court may recommend that the decision-maker
  - a) grants the application; or
  - b) grants the application, subject to conditions; or
  - c) refuses the application.
- 8. The decision-maker must take into account, but does not have to act on, the Court's recommendation.

### THE COURT'S PROCEDURE

- 9. The Court
  - a) is not bound by the rules of evidence; and
  - b) may inform itself in the way it considers appropriate; and
  - c) must act according to the substantial merits of the application, objections, and other matters it must consider; and
  - d) acts without regard to legal forms, practices, and technicalities that apply in courts other than the Land Court.
- 10. The Court does not perform an investigative role and will not conduct its own inquiries. It must consider only the evidence admitted during the hearing and the submissions made by the *active parties*.

# Example-

If the impact of noise from a proposed mine on a residence is an issue in the MOH, any party can lead evidence about that issue but the Court will not engage an expert to investigate and report to the Court.

11. No party bears the *onus of proof* on *issues for the MOH*. In deciding what recommendation to make, the Court must weigh the benefits and disadvantages of the application referred to the Court, if an objection raises a matter of public interest.

# Example-

Whether to approve a mining lease over good quality agricultural land raises a matter of public interest. The Court has to consider the public interest in making its recommendation. The applicant does not have to prove it is in the public interest to grant the mining lease and an objector does not have to prove it is not in the public interest to do so.

- 12. In summary, the procedure for a MOH will include the following steps
  - a) identifying the application and objections to the Court; and
  - b) identifying the active parties and their representatives; and
  - c) identifying the issues; and
  - d) obtaining expert evidence, where required; and
  - e) engaging in Alternative Dispute Resolution (ADR), if appropriate; and
  - f) making arrangements for hearing; and
  - g) conducting the hearing; and
  - h) making the recommendation.

# Starting a MOH

### THE REFERRAL

- 13. The Court starts a MOH when the *referring agency* refers the application(s) and any objection(s) to the Court for hearing.
- 14. The referring agency must provide the Court with a *Referral Form*, the *application material*, and the *applicant's referral statement* in electronic format.

### THE ACTIVE PARTIES IN A MOH

- 15. An active party must participate fully at all stages of a MOH and must comply with the Court's directions about procedure.
- 16. Any objector to a referred application is entitled to be an active party in a MOH. However, the Court recognises that not all objectors will wish to take on that role.
- 17. The Court must consider all objections and will provide all objectors with a copy of the Court's recommendation and reasons, whether or not an objector elects to be an active party.

# Example-

An objector who does not elect to be an active party may have objected to the application on the ground that dust from the proposed mine will be harmful. Even if no other objector who elects to be an active party objected on that ground, the Court must consider whether the dust from the proposed mine will be harmful, taking into account what the objector has said in the objection.

- 18. When it receives a referral for a MOH, the Court will write to the applicant and to the objector(s), to inform them of the referral and to ask the objectors whether they want to be an active party.
- 19. To elect to be an active party, an objector must file a Notice of Election within the *election* period.
- 20. The active parties to a MOH will be $^{-1}$ 
  - a) the applicant; and
  - b) any objector who elects to be an active party; and

The Land Court may decide to add a party to a MOH relating to an application for an environmental authority. See *Environmental Protection Act 1994* s 156(d). The Court would hear from the other active parties before making that decision.

- c) the *statutory party* if the MOH relates to an environmental authority.
- 21. When the election period ends, the Court will prepare and provide to all active parties a list of the names of the active parties, the names of their representatives, if any, and their address for service.
- 22. An objector may apply to be an active party after the end of the election period. However, the Court may approve the application only if satisfied it is in the interests of justice to do so, after hearing from the active parties, and having considered relevant information, including
  - a) whether there is a reasonable explanation for the objector's failure to elect during the election period; and
  - b) whether their objection raises an issue which is not included in the issues identified for the hearing; and
  - c) what stage the case has reached; and
  - d) any prejudice to any active party if the Court gives the objector *leave* to become an active party; and
  - e) any prejudice to the objector if their application is not granted.

# HOW AN ACTIVE PARTY CAN BE REPRESENTED IN A MOH

- 23. Any active party can appear in person, or appoint a lawyer or an agent to represent them.
- 24. If an active party appears in person, the Court will assist them to understand the Court's procedure, but cannot provide legal advice or assist them to present their case.
- 25. The Court expects all parties and their representatives, if any, to
  - a) act honestly, efficiently, and expeditiously in a MOH; and
  - b) be aware of and comply with this Practice Direction, any other relevant Practice Direction and the provisions regarding procedure in the *Land Court Act 2000* and the *Land Court Rules 2000*; and
  - c) observe the orders and directions of the Court; and
  - d) not abuse the Court's process.

# THE STATUTORY PARTY

- 26. The Department of Environment and Science (DES) is the statutory party in a MOH relating to an application for an environmental authority.
- 27. The statutory party will
  - a) endeavour to assist the Court in making its recommendation about the draft environmental authority; and

- b) assist the Court to understand the assessment of the application and any draft conditions proposed by the statutory party; and
- c) when requested by the Court, provide suggested draft conditions, relevant to a ground of objection, for consideration by the Court; and
- d) based on factual or legal rulings by the Court, assist the Court to identify amendments or new conditions of the environmental authority which may address a ground of objection; and
- e) conduct itself in accordance with the *Model Litigant Principles*.

# Documents in a MOH

### APPLICATION MATERIAL

- 28. As soon as practicable after receiving the Referral from the referring agency, the Court must provide the applicant with access to the Referral Form and the application material.
- 29. The applicant must file the applicant's referral statement with the Court no later than five working days before the end of the election period.
- 30. No later than five working days before the end of the election period, an applicant may apply to the Court for an order restricting access to any *document* in the application material.
- 31. The application must include the following information
  - a) what document(s) the applicant wants the Court to restrict access to; and
  - b) the proposed terms of the restriction order; and
  - c) the reasons for the application; and
  - d) why it is in the interests of justice for the Court to make the order.
- 32. The Court may make a restriction order on the papers, without an oral hearing.
- 33. When the election period ends, the Court will provide any objector who elects to be an active party with
  - a) access to the Referral Form, the application material (subject to any restriction order), and the applicant's referral statement; and
  - b) a copy of any restriction order relating to the application material.

### STATUTORY PARTY AFFIDAVIT

- 34. Unless otherwise ordered, within 14 days of the Court advising it of the active parties to the MOH, the statutory party must *file and serve* on each active party an affidavit by a relevant delegate which
  - a) describes the assessment process; and
  - b) attaches or refers to any other documents or information (for example advice received or reports by other government departments) which is relevant and which is not otherwise contained in the application material, and, where applicable, gives reasons why it has not been provided (for example commercial-in-confidence); and
  - c) explains by reference to each ground in each objection notice where and how the draft environmental authority addresses that ground of objection.

# DISCLOSURE OF DOCUMENTS

35. The Court does not have the power to order *disclosure* of documents by any person, including an active party.

# FILED DOCUMENTS AND EXHIBITS

- 36. If any active party provides the Court with a document before the hearing, the document will be placed on the Court file.
- 37. Filed documents are not evidence in the hearing, unless an active party asks the Court to admit the document as an exhibit.
- 38. Unless subject to a restriction order, each document in the application material will be marked as a separate exhibit in the MOH.

### ACCESS TO FILED DOCUMENTS AND EXHIBITS

- 39. An active party to a MOH may inspect and copy any filed document or exhibit, unless subject to a restriction order, upon payment of the prescribed fee.
- 40. If an active party seeks access to a document which is subject to a restriction order, they may raise this at the *directions hearing* for the MOH.
- 41. Any other person must request permission to inspect and copy any filed document or exhibit.
- 42. A person making such a request must
  - a) make a written request which states the documents the person wants to inspect and copy and why they want to do so; and
  - b) serve the request on the active parties at the address for service advised by the Registrar.
- 43. The Court will invite the active parties to make written submissions about the request and will take them into account in deciding whether to grant the request and, if so, on what conditions.

# Preparing a MOH

### CASE MANAGEMENT

- 44. The Court has a wide discretion as to its procedure, but must afford the active parties *procedural fairness*. The Court will use *case management directions* to prepare a MOH for hearing, having regard to the number, nature, and complexity of the issues in dispute.
- 45. The Court will list a MOH for a directions hearing as soon as practicable after the election period ends, and will list further reviews to ensure the MOH progresses in a timely way.
- 46. At the request of any active party, or on its own initiative, the Court may list a MOH for review so it can make further or different case management directions.
- 47. The Court encourages the parties, as early as possible, to consider whether the MOH should be conducted as an *eTrial*.
- 48. The Court expects all parties to proceed in an expeditious way and to work constructively in Court processes directed by the Court, including ADR.
- 49. The Court will take the parties' compliance with case management directions into account in determining any application for an order that an active party pay the costs of any other active party for all or any part of a MOH.

# **IDENTIFYING THE ISSUES FOR A MOH**

- 50. During a MOH, the Court can only receive evidence relating to issues raised by a ground contained in a properly made objection.
- 51. Any active party can ask an objector who is an active party to provide further details of their ground(s) of objection, by making a *request for particulars*. Particulars may clarify or confine the scope of an objection but cannot add new grounds of objection.
- 52. At any time, an objector may abandon any ground of objection.

# **EXPERT EVIDENCE**

- 53. Expert witnesses owe a duty to the Court to give independent evidence, which prevails over the duty they owe to the party that has engaged them to give evidence. The *Guidelines for Expert Evidence in the Land Court* provide information about the Court's expectations of expert witnesses and the parties who engage them.
- 54. The Court will direct the process for expert evidence in a MOH on a case-by-case basis.

- 55. The Court will choose the process for expert witness evidence taking into account the resources and preferences of the active parties and the nature, scope and complexity of the issues.
- 56. In a MOH that will involve evidence from experts in more than one discipline or field of expertise, the Court will consider listing the MOH for *Court Managed Expert Evidence*.

# ALTERNATIVE DISPUTE RESOLUTION

- 57. The Court encourages the early and economical resolution of issues in a MOH, including, if appropriate, through ADR.
- 58. The Court expects active parties to consider ADR at every stage of a MOH.
- 59. The Court may direct active parties to engage in
  - a) Mediation by a Convenor from the Court's ADR Panel;
  - b) a *Preliminary Conference* or Court-supervised *Mediation* conducted by a Member or the Judicial Registrar; or
  - c) Mediation by a private mediator.
- 60. Unless the Mediator approves other arrangements, the active parties who will participate in the ADR must attend in person.
- 61. The Court may make directions about
  - a) the arrangements for the ADR; and
  - b) the participants for the ADR; and
  - c) the issues for the ADR; and
  - d) the documents for the ADR.

# Ending a MOH before the Hearing

# WITHDRAWAL OF ALL OBJECTIONS

62. The Court will end the MOH without making any recommendation if all objections to the application are withdrawn.

# **ABUSE OF PROCESS**

- 63. The Court has power to strike out objections which are
  - a) outside the jurisdiction of the Court; or
  - b) frivolous or vexatious; or
  - c) otherwise an abuse of the Court's process.
- 64. The Court has power to stay a MOH.
- 65. If the Court decides to stay a MOH because of delay or non-compliance by the applicant, it will provide the Minister for Natural Resources, Mines and Energy and/or the Chief Executive of DES with written reasons for the stay.

# The Hearing

### LISTING AND HEARING ARRANGEMENTS

- 66. The Court will set dates for the hearing as soon as practicable.
- 67. The Court will list a MOH for a hearing *review* at least one month prior to the first day of the hearing.
- 68. Five working days before the hearing review the active parties must file in the Registry
  - a) a list of issues (Appendix A to the Model Directions); and
  - b) a list of matters not in dispute (Appendix B to the Model Directions); and
  - c) a hearing bundle (Appendix C to the Model Directions); and
  - d) a proposed hearing plan, which identifies any issues relating to a site inspection (Appendix D to the Model Directions).

### **ORIENTATION**

- 69. In the usual course, a MOH will commence with a site inspection.
- 70. As early as is convenient during a MOH, the associate to the Member conducting the hearing will present publicly available information about the relevant land using *Queensland Globe (QGlobe)*.

# **EVIDENCE**

- 71. In a combined MOH, the evidence led in relation to each application will be evidence in the other, to the extent the evidence is relevant.
- 72. An active party may lead evidence about the issues for the MOH. The applicant may also lead evidence about any statutory criteria not included in the issues for the hearing.
- 73. The Court may take evidence from expert witnesses in a concurrent evidence session.
- 74. If more than one objector wishes to *cross-examine* a witness about the same issue, the Member conducting the hearing will
  - a) request the objectors to nominate one person to conduct the cross-examination; and
  - b) if the objectors cannot agree on who that should be, the Member will appoint one of the objectors or one of their representatives; and
  - c) in making the appointment, the Member must consider the views of the relevant objectors.

75. The Member must ensure the person nominated or appointed to conduct the cross-examination has a reasonable opportunity to obtain instructions from the relevant objectors about the topics for cross-examination.

# **SUBMISSIONS**

- 76. At the end of the hearing, the Court will make directions for submissions by
  - a) all active parties, about the issues for the MOH; and
  - b) the applicant, about any statutory criteria not included in the issues for the hearing.

# After the Hearing

### **DECISION**

- 77. The Member conducting the MOH will provide a written decision, which includes their recommendation(s) and reasons. If the Member delivers an oral decision at the end of the hearing, the Court will publish it as a written decision as soon as possible.
- 78. The Court will give as much notice as possible of the date on which the decision will be delivered, to
  - a) the active parties; and
  - b) if the MOH is for an application for a mining claim or mining lease, the Departmental Officer who referred the application to the Court.
- 79. The Member will observe the Court's *Reserved Judgment Policy*, which sets a reporting target of 3 months from hearing or final submissions for Members to deliver their decision.
- 80. When the hearing ends, or at any time before the decision is delivered, if the Member has good reason to think they cannot deliver their decision in that time, they must set a date by which they expect to deliver the decision.
- 81. The Court will provide copies of the decision to
  - a) all active parties; and
  - b) any objector who did not elect to be an active party; and
  - c) if the MOH involves an application for a mining claim or mining lease, the Minister for Natural Resources, Mines and Energy; and
  - d) if the MOH relates to a mining lease that is included in a coordinated project, the State Development Minister.

# **COSTS**

- 82. The Court may order an active party to pay all or some of the costs incurred by another active party, either as fixed in the order or as assessed against a specified *Scale of Costs*.
- 83. The Court can award costs
  - a) against an applicant who abandons or does not pursue their application for a mining claim or mining lease at a hearing; and
  - b) against an owner or objector who withdraws or does not pursue their objection to an application for a mining claim or mining lease at a hearing; and
  - c) otherwise as it considers appropriate.

- 84. In deciding whether to make a costs order, the Court will take into account the following matters
  - a) whether the application or an objection was made primarily for an improper purpose; and
  - b) whether the application or an objection was frivolous or vexatious; and
  - c) whether a party has introduced, or sought to introduce, new material at the hearing; and
  - d) whether a party has defaulted in the Court's procedural requirements; and
  - e) whether the applicant for a mining claim, mining lease, or environmental authority did not give all the information reasonably required to assess the application; and
  - f) whether the applicant or an objector abandoned or did not pursue their application or objection at the hearing; and
  - g) any other relevant factor.

# Words and Meanings

Abuse of the Court process: Abuse of the Court process is the misuse, or unjust or unfair use of Court process and procedure. Consistent failure to abide by Court orders and directions can constitute an abuse of process.

Active party: An active party for a mining objection hearing is any of the following-

- a) the applicant for the mining lease or claim and/or environmental authority
- b) the Department of Environment and Science (also the statutory party)
- c) any objector who elects to be an active party.

Address for service: A party's address for service is the address the Court and the parties can use to give documents to that party.

*ADR Panel*: The Court has established a panel of ADR Convenors who are accredited Mediators and have other specialist knowledge and experience.

Affidavit: An affidavit is a written statement, made by a person who has sworn or affirmed before a person authorised to administer the oath that the contents of the statement are true.

Alternative Dispute Resolution: ADR is the use of alternative methods such as preliminary conferences, mediation, or case appraisal to resolve a dispute without the need for the Court to decide the case.

Appeal: An appeal is an application to reconsider or rehear a decision on the ground that there has been an error in the decision.

Applicant's referral statement: A statement of relevant information the Court requires the applicant to file in the Court before the end of the election period.

Application material: Application material is the documents attached to the Referral Form.

Case management directions: Case management directions may provide for one or more of the following—

- a) identifying an issue to be decided in a matter at a preliminary stage
- b) identifying the issues in dispute
- c) Court supervised processes, including preliminary conferences, mediations, and Court managed expert evidence
- d) exchanging lists of witnesses, including expert witnesses
- e) meetings of experts and the production of joint reports of the experts

- f) exchanging statements of witnesses the parties intend to call
- g) a review by the Court of the conduct of the matter
- h) arrangements for a site inspection
- i) the dates and other arrangements for a hearing
- j) giving, for use by the Court before the hearing, a copy of
  - i. a document identifying the issues in dispute
  - ii. a statement of evidence, including a joint report
  - iii. a book of documents to be tendered at the hearing with the consent of the parties
- k) limiting the duration of the hearing
- I) limiting the time to be taken by a party in presenting their case
- m) requiring evidence to be given by affidavit, orally or in another form
- n) requiring expert witnesses in the same field to give evidence consecutively, concurrently or in another way
- o) limiting the number of witnesses a party may call on a particular issue in dispute
- p) limiting the time to be taken in examining, cross-examining or re-examining a witness
- q) requiring an opening address or submission to be made in the way the Court directs
- r) limiting the time to be taken for an opening address or in making oral submissions
- s) limiting the length of a written submission, affidavit or statement of evidence
- t) any other matter the Court considers appropriate.

Combined MOH: A combined MOH is a MOH which hears the applications for a mining lease and a related environmental authority, and the objections to those applications, at the same time.

Concurrent evidence: Concurrent evidence involves more than one expert witness giving evidence on the same issue in the same session. The Court's procedure for concurrent evidence is specified by Practice Direction 2 of 2017.

Court Managed Expert Evidence (CMEE): A CMEE is a method where the Court supervises the briefing and meeting of experts and production of their joint expert report. Practice Direction 3 of 2018 governs a CMEE.

*Cross-examine*: To ask questions of a witness called by another active party to get information on a relevant issue and/or cast doubts on the accuracy of evidence presented by that witness.

# Decision-maker: Is-

- a) for an application for a mining claim or mining lease, the Minister for Natural Resources, Mines and Energy
- b) for an application for an environmental authority, the Chief Executive of DES.

*Directions hearing*: At a directions hearing, the Court will make directions about the steps the parties or their witnesses must take in preparation for the hearing.

*Disclosure*: Disclosure is the delivery or production of documents by a party to a case to the other parties in the case.

Document: Document includes, in addition to a document in writing—

- a) any part of a document in writing or of any other document as defined herein
- b) any book, map, plan, graph or drawing
- c) any photograph
- d) any label, marking or other writing which identifies or describes anything of which it forms part, or to which it is attached by any means whatever
- e) any disc, tape, soundtrack or other device in which sounds or other data (not being visual images) are embodied so as to be capable (with or without the aid of some other equipment) of being reproduced therefrom
- f) any film, negative, tape or other device in which one or more visual images are embodied so as to be capable (with or without the aid of some other equipment) of being reproduced therefrom
- g) any other record of information whatever.

*Election period*: Election period is the period in which an objector may elect to be an active party. It ends on the date stated in the letter to objectors. That date must be at least 15 days from the date of the letter.

*Environmental authority*: Environmental authority means an environmental authority issued under s 195 of the *Environmental Protection Act 1994* that approves an environmentally relevant activity applied for in an application.

*eTrial*: In an eTrial, the documents that may be required or referred to during the hearing are provided, stored and accessed electronically. Practice Direction 2 of 2016 governs the process for eTrials.

File and serve: To file and serve a document means to give the document to the Court so it can be placed on the Court file and to give a copy of that document to the other active parties at their address for service.

*Frivolous*: A claim or objection is frivolous if it has no reasonable grounds, but something more than lack of success needs to be shown.

Guidelines for Expert Evidence in the Land Court: The Guidelines for Expert Evidence explain the Court's expectations of expert witnesses and its procedures for obtaining, documenting and using their evidence.

*Issues for the MOH*: The issues for the MOH are the issues as defined by this Practice Direction at [48] - [50].

*Judicial Review*: Judicial Review is the determination by courts of the legality of exercises of power by administrators and tribunals.

*Leave*: If something can only be done with leave of the Court, it can only be done if the Court makes an order allowing it.

*Mediation*: Mediation is a form of alternative dispute resolution (ADR). An impartial person (the Mediator) assists the parties to discuss and attempt to resolve their dispute by agreement. The Mediator must keep the discussions confidential and the parties cannot use what is said or done during a mediation in a court case.

Mining Objection Hearing: A MOH is a hearing of an application for a mining claim, a mining lease, or an environmental authority relating to a mining lease and any objection to that application.

Model Litigant Principles: Model Litigant Principles are principles enacted by the Queensland Government outlining the conduct expected of the State and its agencies in legal proceedings. The principles provide that the State and all agencies, in the conduct of all litigation, must adhere to the principles of fairness and firmness, and consider alternative dispute resolution as a method of resolution before proceeding to court.

*Objection*: An objection is a ground relied upon by a person objecting to the grant of a mining lease, mining claim, or environmental authority.

Onus of Proof: Onus of proof is the obligation of a party in a hearing to produce the evidence that will prove the case it has made against the other party.

On the papers: On the papers is where a matter is determined on written submissions only and the parties will not have the opportunity to make oral submissions.

*Oral hearing*: An oral hearing is where the Court will make a determination based on written and oral submissions.

Preliminary Conference: A preliminary conference is a form of ADR in which the Judicial Registrar or a Member convenes an informal meeting between the parties to identify the

issues in dispute, discuss those issues and try to find a mutually acceptable outcome, without a court hearing.

*Procedural fairness*: Procedural fairness means to act fairly in administrative decision-making by—

- a) providing a fair opportunity for a party to present their case
- b) giving impartial consideration to the merits of the case
- c) acting on logically probative evidence.

Queensland Globe (QGlobe): QGlobe is an online interactive tool that presents physical, geographical, and spatial data about a particular location in a map format.

Referral Form: The Referral Form is the approved form for referring the application for a MOH.

*Referring agency*: The referring agency is the Department of Natural Resources, Mines and Energy except where the only application objected to is an application for an environmental authority. In that case, the referring agency is the Department of Environment and Science.

Request for particulars: A request for particulars is a request for details of the claim in an action before the Court which are necessary in order for the other party to know what case they must meet.

Reserved Judgment Policy: Reserved Judgment Policy.

Review: A review is a procedural hearing (after an initial directions hearing) where the President or a Member reviews the progress of the case and makes procedural directions regarding the future management of the case.

Rules of evidence: Rules of evidence comprise a body of legal principles that govern whether evidence can be admitted during a court proceeding.

Scale of Costs: The scale of costs is a published schedule that is used to determine the amount payable where one party must pay the legal costs of another party to a proceeding.

Site inspection: A site inspection involves the Court party visiting an area of land that is relevant to the case. The Court will conduct a site inspection in accordance with Practice Direction 2 of 2018.

Statutory Criteria: Statutory criteria are matters or factors the Court must consider during a MOH. They are specified—

- a) for a mining lease, in s 269(4) of the Mineral Resources Act 1989;
- b) for a mining claim, in s 78(a) of the Mineral Resources Act 1989; and
- c) for an environmental authority, in s 191 of the Environmental Protection Act 1994.

Statutory party: The statutory party for a MOH involving an objection to an environmental authority is the Department of Environment and Science.

Stay: A stay is an order by the Court suspending a case or a part of a case until further order.

Strike out objections: If the Court strikes out an objection, it will not receive evidence about that objection. If the Court strikes out all objections to an application, the MOH for that application will end.

*Submissions*: Submissions are the arguments put forward (either orally or in writing) by a party in a hearing.

Vexatious proceedings: Vexatious proceedings include those that are an abuse of the process of the Court, designed to harass or annoy, to cause delay or detriment, or for any other wrongful purpose, instituted without fair or reasonable grounds.

# Appendix A – Practice Directions repealed (in whole or part) by this Practice Direction

PD NO	SHORT TITLE	EXTENT TO WHICH PD REPEALED BY THIS PD
6 of 2013	Additional Applicant Information and Statutory Declaration	In whole
1 of 2015	Procedures Applicable to Matters Referred to the Land Court	In whole
3 of 2015	Objectors Participation in Objections Hearings	In whole
4 of 2015	Approval of Forms	In whole
5 of 2015	Approved Forms for Referrals	In part: paragraphs 5 to 18

# Procedure for deciding Compensation Disputes and Conduct and Compensation Disputes

**Practice Direction 3 of 2019** 

President FY Kingham

Amended 11 August 2020

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# INTRODUCTION

# Introduction

- 1. The *Practice Direction* explains the procedure the Court will use in proceedings about Compensation Disputes and Conduct and Compensation Disputes, so that they are dealt with in a way that is accessible, fair, just, economical, and expeditious.
- 2. This procedure recognises that *parties* may want to negotiate their own agreements, instead of spending money and time on the Court process. The Court is committed to facilitating the resolution of matters by agreement through *Alternative Dispute Resolution* (ADR) processes.
- 3. Terms that appear in *italics* in the Practice Direction are defined in the Words and meanings section of the document.

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# OVERVIEW OF THE COURT'S PROCESS FOR DECIDING COMPENSATION DISPUTES AND CONDUCT AND COMPENSATION DISPUTES

# Overview of the Court's process for deciding Compensation Disputes and Conduct and Compensation Disputes

# WHAT ARE COMPENSATION DISPUTES?

- 4. The *Mineral Resources Act 1989* (MRA) regulates the granting and renewal of mining claims and mining leases in Queensland. Those tenures cannot be granted or renewed unless compensation has been either
  - a) agreed between the applicant miner and each person who is the owner of
    - i land, the surface of which is the subject of the application; and
    - ii any surface access to the mining claim or lease land;1 or
  - b) determined by the Court.
- 5. This Practice Direction applies to applications for mining claims or mining leases submitted to the Department of Natural Resources, Mines and Energy (DNRME) on or after 25 October 2018.<sup>2</sup> Practice Direction 1 of 2017<sup>3</sup> applies to any applications for mining claims or mining leases submitted to the DNRME before 25 October 2018.

# WHAT ARE CONDUCT AND COMPENSATION DISPUTES?

- 6. Under the *Mineral and Energy Resources (Common Provisions) Act 2014* (MERCP Act) an *eligible claimant* and a *resource authority holder* may enter into a conduct and compensation agreement (CCA) about
  - a) when and how a resource authority holder may enter the land;
  - b) how authorised activities must be carried out; and
  - c) the resource authority holder's liability to compensate the eligible claimant for any loss or damage caused by the *authorised activity*.

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<sup>&</sup>lt;sup>1</sup> Mineral Resources Act 1989 s 85, s 279(1)(a).

Mineral Resources Act 1989 s 840.

Land Court of Queensland, Practice Direction 1 of 2017 – Case Management Procedures for Compensation Determinations Relating to Resource Project.

# OVERVIEW OF THE COURT'S PROCESS FOR DECIDING COMPENSATION DISPUTES AND CONDUCT AND COMPENSATION DISPUTES

- 7. If an eligible claimant and resource authority holder cannot agree on a CCA, either party can apply to the Court for the Court to
  - a) decide a compensation amount;
  - b) impose conditions the Court thinks are appropriate for the exercise of the parties' rights; or
  - c) vary any existing conditions.
- 8. This Practice Direction repeals and replaces Practice Direction 1 of 2017,<sup>4</sup> to the extent that the former Practice Direction applied to Conduct and Compensation Disputes.

# THE COURT'S PROCEDURE

- 9. The Court
  - a) is not bound by the rules of evidence;
  - b) may inform itself in the way it considers appropriate;
  - c) must act according to equity, good conscience the substantial merits of the application, and other matters it must consider; and
  - d) acts without regard to legal forms, practices, and technicalities that apply in other courts.<sup>5</sup>
- 10. The Court has a wide discretion as to its procedure, but must afford parties *procedural* fairness. The Court will use directions to prepare an application for hearing, having regard to the number, nature, and complexity of the issues in dispute. Directions are orders of the Court and the parties must comply with them.
- 11. The Court expects all parties to proceed in an expeditious way and to work constructively in Court processes as directed by the Court, including ADR.
- 12. The Court will take into account the parties' compliance (or non-compliance) with *case* management directions in deciding whether a party should pay the costs of any other party for all or any part of the proceeding.

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Land Court of Queensland, Practice Direction 1 of 2017 – Case Management Procedures for Compensation Determinations Relating to Resource Project.

<sup>5</sup> Land Court Act 2000 s 7.

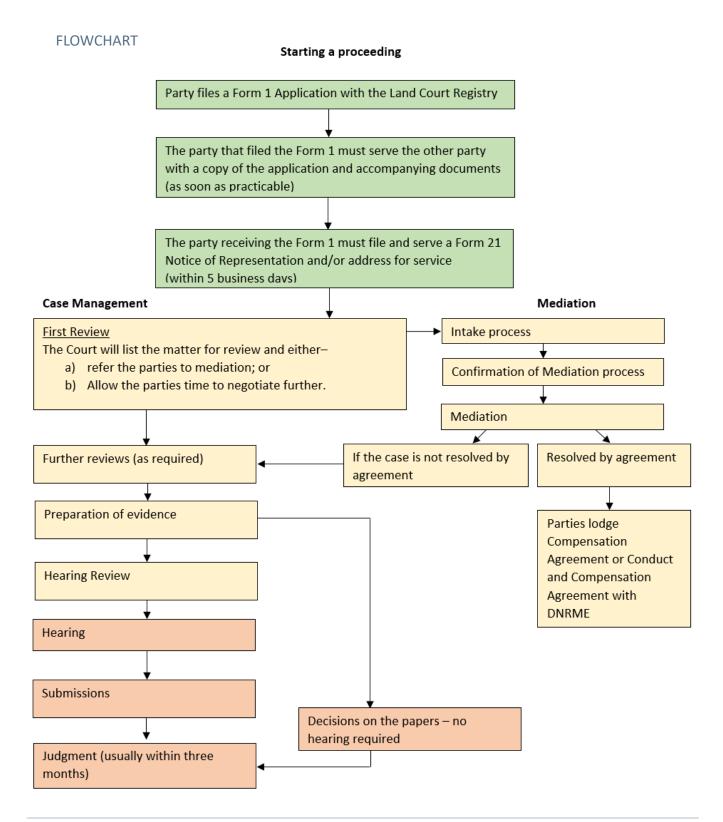
# OVERVIEW OF THE COURT'S PROCESS FOR DECIDING COMPENSATION DISPUTES AND CONDUCT AND COMPENSATION DISPUTES

- 13. In summary, the Court's procedure may include the following steps
  - a) assisting the parties to identify the issues;
  - b) encouraging the parties to engage in ADR, if appropriate;
  - c) setting timeframes for the parties to obtain evidence, including *expert evidence*, where required;
  - d) making arrangements for the hearing; and
  - e) conducting the hearing, if required.
- 14. The Court does not perform an investigative role and will not conduct its own inquiries. It must consider only the evidence the parties provide and the *submissions* made during the hearing.

## Example-

A resource activity restricts an eligible claimant's ability to use the land for running cattle. The eligible claimant wants compensation for the loss of use of that land. The eligible claimant has not filed a valuation report or statement that proves the value of the land lost. The Court will have no evidence to support the eligible claimant's claim and, therefore, the Court may not be able to give the eligible claimant compensation for the loss of use of the land.

# OVERVIEW OF THE COURT'S PROCESS FOR DECIDING COMPENSATION DISPUTES AND CONDUCT AND COMPENSATION DISPUTES



## STARTING A PROCEEDING

## Starting a proceeding

#### THE PARTIES

#### Mineral Resources Act 1989

- 15. The miner (the person or company applying for or currently holding a mining claim or a mining lease) is the *applicant* for the *proceeding*.
- 16. The landholder is the *respondent* for the proceeding.

## Mineral and Energy Resources (Common Provisions) Act 2014

- 17. The resource authority holder is the applicant for the proceeding.
- 18. The eligible claimant is the respondent for the proceeding.

#### **APPLICATION**

- 19. Any party may apply to the Court by filing a Form 01A Originating Application.
- 20. The party that files the Form 01A must serve a copy of it, and any accompanying documents, on the other party as soon as practicable.
- 21. The party that receives the Form 01A must, within five business days of receipt, *file and serve* a Form 21 Notice of Representation and/or Address for Service.

#### HOW A PARTY CAN BE REPRESENTED

- 22. Any party can appear in person (without a representative), or appoint a lawyer or agent to represent them.
- 23. If a party appears in person, the Court will make reasonable efforts to assist them to understand the Court's procedure, but cannot provide legal advice, including advice on how a party should present their case.
- 24. The Court expects all parties and their representatives, if any, to
  - a) act honestly, efficiently, and expeditiously in a referral;
  - b) be aware of and comply with this Practice Direction, any other relevant Practice Directions, and the provisions regarding procedure in the Land Court Act 2000 and the Land Court Rules 2000;
  - c) observe the orders and directions of the Court; and
  - d) not abuse the Court's process.

## **DOCUMENTS IN A PROCEEDING**

## Documents in a proceeding

#### FOR ALL DISPUTES

- 25. The following documents<sup>6</sup> must be filed either with the Form 01A application, or filed by the miner/resource authority holder within 10 days of filing the Form 01A–
  - a) a Mines Online Public Inquiry Report;
  - b) a map showing tenure area and access land; and
  - c) a copy of any *environmental authority* issued under the *Environmental Protection Act* 1994 for the mining lease, mining claim or resource authority.

## IN ADDITION, FOR COMPENSATION DISPUTES

- d) information and maps showing which areas of the mining lease or mining claim is situated on which underlying land tenures;
- e) a copy of the application for grant or renewal of a mining lease or mining claim;
- f) a copy of any amendment to that application after it was lodged;
- g) a copy of the certificate of application for the mining lease or mining claim;
- h) any Court instruction or recommendation in respect of the mining lease or mining claim;
- i) a copy of any previous application or referral to the Court for a determination of compensation for the tenure area and access land for this mining lease or mining claim;
   and
- j) a copy of any prior compensation agreement or court determination of compensation for the tenure area and access land for this mining lease or mining claim.

<sup>6</sup> Document is defined in the *Evidence Act 1977* Sch 3.

## DOCUMENTS IN A PROCEEDING

## IN ADDITION, FOR CONDUCT AND COMPENSATION DISPUTES

- k) a copy of the resource authority;
- I) a copy of the certificate of application for the resource authority;
- m) any Court instruction or recommendation in respect of the resource authority;
- n) a copy of any previous application to the Court for determination of a CCA for the tenure area; and
- o) a copy of any prior CCA or court determination of a CCA for the tenure area.

## FILED DOCUMENTS AND EXHIBITS

- 26. The Court will mark each document filed in accordance with paragraph [25] as a separate *exhibit* in the hearing.
- 27. Any other document filed before the hearing will be placed on the Court file but will not be evidence in the hearing unless a party asks the Court to admit the document as an exhibit.

#### **DISCLOSURE OF DOCUMENTS**

- 28. A party may apply for orders for *disclosure* of documents by any person, including a party or a non-party.
- 29. The relevant provisions of the *Uniform Civil Procedure Rules 1999* apply.

## **REVIEWS**

## Reviews

#### THE FIRST REVIEW

- 30. As soon as practicable after the Form 21 has been filed, the Court will list the application for a *review* to discuss the case management of the proceeding.
- 31. The review will be held in Brisbane. If the parties live in regional Queensland, they have leave to attend the review by telephone. If a party wishes to attend by telephone, they must advise the Court of the telephone number the Court can use to call them by 4:00pm two business days before the review.
- 32. The purpose of the first review is
  - a) for the parties to inform the Court about their progress in negotiating an agreement and, in particular, whether agreement has been reached;
  - b) for the Court to
    - i. assist self-represented parties to understand the Court's process and procedure;
    - ii. consider ADR and, in particular, use of the Court's ADR Panel;
    - iii. decide whether to make directions about the preparation of evidence;
    - iv. make any necessary directions to progress the proceeding.
- 33. If the parties have reached an agreement, but it has not been finalised, the Court will list the application for another review. The date for review will take into account the parties' estimate of the time required to finalise the agreement and lodge it as required.
- 34. If the parties want to continue negotiations without assistance, the Court will list the case for further review. The date for the further review will take into account the parties' estimate of time required to conclude negotiations. The parties must promptly advise the Court before the next review if
  - a) they reach agreement; or
  - b) they have stopped negotiations without reaching agreement.

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## **REVIEWS**

#### **FURTHER REVIEWS**

- 35. After the first review, the Court will list the proceeding for further review to ensure that the parties comply with the Court's directions and are progressing to a resolution of the dispute.
- 36. If the Court has listed a further review to allow the parties to finalise their agreement, or to continue negotiations without assistance, it expects the parties to
  - a) actively use the time to negotiate or finalise their agreement; and
  - b) advise the Court immediately if
    - i they reach agreement; or
    - ii they have stopped negotiating without reaching agreement.
- 37. If the parties cannot reach agreement, or if the Court considers little progress has been made towards agreement, it may
  - a) refer the parties to mediation; or
  - b) make directions for the final hearing of the proceeding.
- 38. At the request of any party, or on its own initiative, the Court may list the proceeding for review so it can make further or different case management directions.

#### PROPOSAL AND RESPONSE<sup>7</sup>

- 39. The Court may direct the parties to file and serve the following documents by dates fixed by the Court
  - a) a proposal by the miner or resource authority holder detailing
    - i. the amount of compensation they are willing to pay; and
    - ii. if it is a conduct and compensation dispute, any conditions they propose to apply to the authorised activities under the resource authority; and
  - b) a response by the landowner/the eligible claimant to the proposal.8
- 40. A party may attach documents to the proposal or response, but the attached documents must be page numbered and indexed.

For guidance about the content of the statement, and the response to the statement, see Appendix A.

The response may detail a different amount of compensation or different conditions to those proposed.

## **MEDIATION**

## Mediation

- 41. The purpose of mediation is to facilitate discussions between the parties to
  - a) reach agreement or;
  - b) if agreement is not reached, to narrow the issues in dispute.
- 42. Unless there are special circumstances, the mediation will be conducted by a *Convenor* from the Court's ADR Panel.<sup>9</sup>
- 43. Depending on availability, the mediation may be held in a courthouse close to the tenure area.

#### PARTICIPATION IN THE MEDIATION

- 44. Participation in mediation is under the supervision and control of the Mediator. Parties are expected to participate in good faith and must not impede the mediation.<sup>10</sup>
- 45. Unless the Mediator otherwise allows, a party must attend in person, with or without their legal or other representative.
- 46. A party will not be relieved of the requirement to attend in person unless
  - a) they will be represented by a person with full authority to settle the case; or
  - b) if the party is a government agency, it will be represented by a person with authority to recommend the settlement for approval by an authorised delegate; or
  - c) for any other party, the Mediator is informed of the process for endorsing a settlement and, after consulting with the other parties, considers it does not present an unacceptable limitation on the mediation.
- 47. Where appropriate, the Mediator may allow-
- a) other persons to also attend, such as expert witnesses; and
- b) participation by telephone, video or other remote access.

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For more details about the Court's ADR Panel, see Land Court of Queensland, *Practice Direction 1 of 2018 - ADR Panel Mediation*.

<sup>10</sup> Civil Proceedings Act 2011 s 44.

## **MEDIATION**

#### **INTAKE PROCESS**

- 48. The Mediator will contact each party to discuss arrangements for the mediation, including
  - a) date, time, venue, and period allocated for the mediation (if not already fixed);
  - b) any special requirements (such as cultural and language, physical access, audiovisual or other IT needs);
  - c) who the parties wish to participate in the mediation, including expert witnesses or other advisors;
  - d) any request relating to representation at the mediation (see paragraph [46]); and
  - e) any requests about mediation arrangements made by any other party.

## **CONFIRMATION OF MEDIATION PROCESS**

- 49. The Mediator will approve arrangements for the mediation, which will be provided in writing to the parties.
- 50. The arrangements will deal with any preconditions, expectations or particular requirements of the Mediator, and will include
  - a) who will participate, how many people may attend with a party and what are their roles;
  - b) whether a party is required to provide a confidential statement about the issues raised in the case and how they would like the case to be resolved; and if so, by what date;
  - c) what other material, if any, must be provided by any party and by what date;
  - d) whether a party has leave to be represented by a person without authority to settle and, if so, confirmation of that party's process for endorsement of an agreement negotiated at the mediation.

## WITHOUT PREJUDICE AND CONFIDENTIAL PROCESS

- 51. Mediation is conducted on a without prejudice basis. Information shared and documents prepared for the mediation are governed by the law relating to without prejudice communications.
- 52. The Mediator, the parties, and all other participants must respect the confidentiality of the mediation.<sup>11</sup>
- 53. If the dispute does not resolve at mediation, evidence cannot be given at the hearing of anything done or said or any admission made at the mediation, unless all parties agree.<sup>12</sup>

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The Mediator is bound by a requirement of confidentiality with limited exceptions, see *Civil Proceedings Act 2011* s 54.

See Civil Proceedings Act 2011 s 53.

## **MEDIATION**

#### **CONCLUSION OF MEDIATION**

- 54. The Mediator must file a Mediator's Certificate in the approved form.
- 55. Following completion of the mediation, whether the case is resolved or not, the Mediator must destroy all materials provided to or prepared by or for the Mediator for the sole purpose of the mediation.
- 56. If agreement is reached about some or all issues, the Mediator will discuss with the parties whether the parties will
  - a) propose consent orders to the Court to give effect to the agreement;
  - b) finalise a private agreement; or
  - c) document the agreement in some other way.
- 57. If the parties propose consent orders, the Mediator must include them in or attach them to the Mediator's Certificate. The Member managing the case will consider the proposed consent orders and either
  - a) make the orders by consent on the papers; or
  - b) if they consider it necessary to change or refine the orders, hear from the parties before doing so.
- 58. If the parties do not reach an agreement which finally disposes of the case, the Mediator will discuss options for the further conduct of the case and seek agreement about procedural matters<sup>13</sup> that will facilitate a fair, efficient and effective hearing, including
  - a) the contents of a statement of agreed facts;
  - b) the contents of an agreed list of issues of fact or law;
  - c) the expert witness procedure;
  - d) a proposed schedule for the parties to file witness statements and other evidence; and
  - e) arrangements for the hearing, including whether it should be an oral hearing or not and whether there is a preliminary point that the court could decide before it holds a full hearing.
- 59. If the parties agree on any procedural matters, the Mediator must specify the agreement in the Mediator's certificate and attach any agreed documents (such as statements of agreed facts or list of issues of fact and law).

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The Mediator will use the Court's current Practice Directions and Model Directions, if any, to facilitate agreement about procedural matters.

## ENDING A PROCEEDING BEFORE A HEARING

## Ending a proceeding before a hearing

## **COMPENSATION DISPUTE**

- 60. The Court will finalise the proceeding and cancel any further reviews if
  - a) all parties advise the Court that an agreement has been registered with the DNRME; and
  - b) DNRME advises the Court that the agreement has been lodged with and accepted by it.

## CONDUCT AND COMPENSATION DISPUTE

- 61. If the parties advise the Court that an agreement has been reached, and the required notice has been given to record the CCA,<sup>14</sup> the parties may
  - a) ask the Court to formalise the agreement by consent orders; or
  - b) file a notice of discontinuance; or
  - c) at the next review, ask the Court for an order that the proceeding be dismissed.

Mineral and Energy Resources (Common Provisions) Act 2014, s 92(1).

## PREPARING FOR HEARING

## Preparing for hearing

#### **EVIDENCE**

- 62. If the application must be decided by the Court, it will make directions that each party file and serve all evidence they intend to rely on at the hearing, including statements of evidence by any witness they propose to call at the hearing.
- 63. The parties must file and serve this evidence by the date ordered.
- 64. A person's statement of evidence is that person's *evidence in chief*, unless the court orders otherwise.
- 65. The parties must ensure that each person who has signed a statement of evidence is available to give oral evidence at the hearing.
- 66. Unless the Court gives leave, a party cannot rely on evidence that has not been filed and served in accordance with the Court's directions.
- 67. The Court may grant leave if there is a good reason why a party could not comply with the Court's directions. If it grants leave, the Court may also
  - a) adjourn the hearing to allow the other party a reasonable opportunity to consider the evidence;
  - b) order the party seeking leave to pay the other party's costs arising from the late evidence; and
  - c) make another order it considers necessary in the circumstances.

## PREPARING FOR HEARING

#### **EXPERT EVIDENCE**

68. A party may rely on evidence from a person who is specially qualified to express their opinion about particular issues (expert witness).

## Example-

A party may engage a valuer to give an opinion on what the land is worth, or a business adviser to comment on loss of profits.

- 69. An expert witness owes a duty to the Court to give independent evidence, which overrides the duty they owe to the party who has engaged them to give evidence. The *Guidelines for Expert Evidence in the Land Court*<sup>15</sup> provide information about the Court's expectations of expert witnesses and the parties who engage them.
- 70. If a party files a statement of an expert witness, the expert must be available at the hearing to give oral evidence or be questioned about the contents of their statement.
- 71. At the hearing, the Court may take evidence from expert witnesses in *a concurrent evidence* session. The *Guidelines for the Use of Concurrent Evidence* are contained in Practice Direction 2 of 2017. <sup>16</sup>
- 72. Unless the Court grants leave, a party cannot engage more than one expert witness for each area of expertise.
- 73. The Court will direct the process for expert evidence on a case-by-case basis taking into account the resources and preferences of the parties and the nature, scope and complexity of the issues.
- 74. The Court may list the application for *Court Managed Expert Evidence* (CMEE) if the nature and complexity of the evidence suggests that it would assist the Court and the parties. The Court may also list the application for a CMEE on the application of the parties.<sup>17</sup>

Land Court of Queensland, Guidelines for Expert Evidence in the Land Court.

Land Court of Queensland, *Practice Direction 2 of 2017 – Guidelines for the Use of Concurrent Evidence*.

For more information about CMEE see Land Court of Queensland, *Practice Direction 3 of 2018 – Procedure for Court Managed Expert Evidence*.

## THE HEARING

## The hearing

#### ON THE PAPERS HEARINGS

75. In appropriate cases, the Court may, on its own initiative or at the request of a party, order that the application will be determined on the papers. If the Court orders the application to be determined on the papers, it will immediately allocate the case to a Member who must endeavour to deliver the judgment within three months of allocation.<sup>18</sup>

#### **ORAL HEARINGS**

- 76. Unless the case is listed for an on the papers hearing, the Court will set a date and place for an oral hearing.
- 77. The Court will list the proceeding for a hearing review at least one month prior to the first day of the hearing. At that review, the Court will confirm with the parties
  - a) that the proceeding is ready for hearing;
  - b) that all evidence has been filed and all witnesses are available;
  - c) that the time set aside for the hearing is sufficient;
  - d) that the place for the hearing is appropriate;
  - e) what arrangements to make for the site inspection.
- 78. Five working days before the hearing review the parties must
  - a) file in the Registry
    - i a list of issues:
    - ii a proposed *hearing plan*, which identifies any issues relating to a site inspection; and
    - iii a list of matters not in dispute;
  - b) provide two copies of a hearing bundle to the Court, including an index to hearing bundle.

See the Land Court's reserved judgments policy for more details.

## THE HEARING

#### **ORIENTATION**

- 79. The hearing may commence with a site inspection.<sup>19</sup>
- 80. As early as is convenient during the hearing, the Associate to the Member conducting the hearing will display publicly available information about the land and the mining claim or lease using *Queensland Globe* (QGlobe). The information displayed using QGlobe will not be evidence in the proceeding unless it is put into evidence by one or more of the parties.

## **SUBMISSIONS**

81. At the end of a hearing, the Court expects the parties to make submissions by addressing the Court in person. The Court may also make directions for the provision of submissions in writing.

For more details about the Court's practices regarding site inspections, see Land Court of Queensland, Practice Direction 2 of 2018 – Site Inspections.

## AFTER THE HEARING

## After the hearing

- 82. After the hearing, the Member will make a decision and deliver a written judgment that gives the Court's reasons for the decision.
- 83. The Court will deliver the judgment by
  - a) for an on the papers hearing, sending a copy of the judgment to each party; or
  - b) for an oral hearing, announcing the decision in open court and providing a copy of the judgment to the parties in court or, if a party does not attend court, by sending a copy of the judgment to that party.
- 84. The Court will give the parties as much notice as possible of the date on which the decision will be delivered. 20

<sup>20</sup> See the Land Court's reserved judgments policy for more details.

## Words and meanings

*ADR Panel:* The Court has established a panel of ADR Convenors who are accredited Mediators and have other specialist knowledge and experience.

Alternative Dispute Resolution (ADR): ADR is the use of alternative methods such as preliminary conferences, mediation, or case appraisal to resolve a dispute without the need for the Court to decide the case.

Authorised activity: An authorised activity is an activity that the holder of a mining lease or mining claim is entitled to carry out in relation to that mining lease or mining claim.

Case management: The Court actively supervises the parties' preparation for and conduct of the case and makes directions to facilitate resolving cases in a way that is accessible, fair, just, economical and expeditious.

*Concurrent evidence:* Concurrent evidence involves more than one expert witness giving evidence on the same issue in the same session. The Court's procedure for concurrent evidence is explained by Practice Direction 2 of 2017.<sup>21</sup>

Convenor: A convenor is a person who is qualified through a national accreditation scheme to chair and mediate a meeting between the parties and has a special skill in the Court's jurisdictions. This meeting may be either a preliminary conference or mediation. The convenor will guide the parties through the mediation process and assist the parties to communicate with each other.

Court Managed Expert Evidence (CMEE): A CMEE is a method where the Court supervises the briefing and meeting of experts and production of their joint expert report.<sup>22</sup>

*Directions:* The procedural orders made by the President or a Member regarding the actions the parties and others must take to progress the case.

*Disclosure:* Disclosure is the delivery by a party to a case to the other parties in the case, of documents that are relevant to the issues in dispute.

*Determination on the papers:* Decide the proceeding based on the documents filed in the Court and without an oral hearing.

Land Court of Queensland, *Practice Direction 2 of 2017 – Guidelines for the use of Concurrent Evidence.* 

Land Court of Queensland, Practice Direction 3 of 2018 – Procedure for Court Managed Expert Evidence.

*Eligible claimant:* An eligible claimant is the owner or occupier of private land or public land that is in the authorised area of, or is access land for, the resource authority.

*Environmental authority:* Environmental authority means an environmental authority issued under s 195 of the *Environmental Protection Act 1994* that approves an environmentally relevant activity (such as a mining lease) applied for in an application.

*Evidence:* Evidence is the material that proves the facts which are the basis of a party's claim. Evidence will include:

- a) the party's own statement, sworn on oath or affirmation that supports the facts in their compensation statement and attaching any documents that support their case (such as maps and photos);
- b) statements from any witnesses who can support their contentions;
- c) any oral evidence given under oath at the hearing.

Evidence in chief: Evidence in chief is the primary or main evidence that a party wants the Court to consider. For the Court to be able to consider the evidence, the party must convince the Court that it is both relevant and admissible during the hearing.

*Exhibit:* An exhibit is physical or documentary evidence that has been accepted by the Court as relevant and admissible evidence. Exhibits form a part of the public record for the case.

Expert witness: A person that has a specialised knowledge or skill in a particular field (such as agronomy or land valuation) that gives opinion evidence to the Court based on their specialised knowledge. Usually this is a person with an academic degree in the relevant field, or a long period of experience working in the field. The Court will only allow a person to give expert evidence when it is convinced that the person's knowledge, skill and expertise is of such a nature.

File and serve: To file and serve a document means to give the document to the Court so it can be placed on the Court file and to give a copy of that document (by post, email or personally) to the other parties at their address for service.

Guidelines for Expert Evidence in the Land Court: The Guidelines for Expert Evidence explain the Court's expectations of expert witnesses and its procedures for obtaining, documenting and using their evidence.

Guidelines for the Use of Concurrent Evidence: The Guidelines for the Use of Concurrent Evidence explain how the Court will hear two or more expert witnesses' evidence at the same time.

Hearing plan: A hearing plan is a brief one to two page document that should set out: the order of witnesses being called, the names of each witness to be called, the time each witness will be called to give evidence, an estimate of time for each witness to give evidence, and an estimate of time required to cross-examine the witness. A hearing plan will also include an estimate of time for the site inspection, opening and closing submissions, and any other matters to be raised at the hearing.

Mines Online Public Inquiry Report: A report provided by MinesOnline as to the area, size, location and other feature of the mining lease.

Owner: the registered owner of freehold land, or a person who holds land from the State under an Act (other than an Act about mining or petroleum) under another kind of lease or occupancy (other than occupation rights under a permit under the Land Act 1994) of the land.

*Parties:* Unless stated otherwise, in this document, "parties" means a party to the case or the party's lawyer or agent. A party can be a person, company, organisation, government department, or State that has an interest in a case. Usually in compensation matters the parties will be the miner and the landowner.

*Pleadings*: Pleadings in a case define the issues to be decided in the action. Usually, it is a formal written statement of a party's claim or defence to another party's claim in a civil action.

*Practice Direction:* A procedural direction made by the President of the Court that applies to all cases referred to in the Practice Direction.

Procedural fairness: Acting fairly in decision-making by—

- a) providing a fair opportunity for a party to present their case;
- b) giving impartial consideration to the merits of the case;
- c) acting on logically probative evidence.

*Proceeding*: The regular and orderly progression of a dispute, including all acts and events between the time of commencement and the judgment.

Queensland Globe (QGlobe): QGlobe is an online interactive tool that presents physical, geographical, and spatial data about a particular location in a map format.

*Resource authority:* A permit, claim, license, lease or authority may be a resource authority. For a complete list of rights which may be a resource authority, see section 10 of the MERCP Act.

*Resource authority holder*: A resource authority holder is a person who holds, or has applied for, a resource authority.

Respondent: The legal person responding to an application or an appeal before the court.

*Review:* A review is a procedural hearing where the President or a Member reviews the progress of the case and makes procedural directions regarding the future management of the case.

*Rules of evidence:* Rules of evidence are a body of legal principles that govern whether evidence can be admitted during a court proceeding.

*Site inspection:* A site inspection involves the Court visiting an area of land that is relevant to the case. The Court will conduct a site inspection in accordance with <u>Practice Direction 2 of 2018</u>.

*Submissions:* Submissions are the arguments put forward (either orally or in writing) by a party in a hearing. They explain why the Court should make an order in a party's favour. They tie the facts to the law.

*Surface access:* This refers to land that is not being mined but is needed to access the land that is being mined.

## APPENDIX A - GUIDE TO PROPOSAL AND RESPONSE

## Appendix A - Guide to proposal and response

The Land Court does not have *pleadings* which identify the issues in dispute between the parties. The proposal and response filed by the parties will, in some way, be a substitute for pleadings and, therefore, give the opposite party an understanding of what aspects of the agreement will need to be decided.

#### **PROPOSAL**

The Court can look at a number of factors when calculating compensation.<sup>23</sup> The proposal by a miner/resource holder, should address each of the factors listed in the relevant Act. It should give the landowner sufficient detail about the mining operation so that the landowner can understand how the activities will affect their use of the land and should include details about—

- a) the timing of the mining operation
  - i whether the mine will operate all year or only on certain months; and
  - ii when the operation will commence;
- b) what areas within the tenure area will be mined, in what sequence, and what area of disturbance;
- c) how many people will be involved in the operation;
- d) what access is required, and how often will mining equipment/personnel travel the access areas;
- e) what equipment will be used for the operation; and
- f) what measures will be undertaken to prevent or limit damage to properties/watercourses/stock.

<sup>&</sup>lt;sup>23</sup> Mineral Resources Act 1989 s 85, 280, 281.

## APPENDIX A - GUIDE TO PROPOSAL AND RESPONSE

#### **RESPONSE**

## Compensation

Landholders and eligible claimants should also look at the factors contained in the legislation when calculating compensation. Some factors to bear in mind are—

- a) a landholder is not entitled to compensation for the value of the resource.
- b) If a landholder is claiming a loss in the capital value of your land, you will need evidence about that.
- c) If a landholder is claiming business losses, they will need evidence about that.
- d) If a landholder is claiming management time, they will need to set out how that management time will be spent and a value for that management time.
- e) Is there any consequential loss.
- f) Accounting, legal or valuation costs necessarily and reasonably incurred to negotiate or prepare the agreement.

## **Conduct conditions**

The Court can make an order including conditions about how the resource authority holder will conduct its activities for a CCA. However, it cannot make orders for conditions in determination of compensation under the MRA.<sup>24</sup>

Nevertheless, the parties can make a private agreement for compensation disputes that does include those conditions.

## PRACTICE DIRECTION NUMBER 1 of 2017

## LAND COURT OF QUEENSLAND

## CASE MANAGEMENT PROCEDURES FOR COMPENSATION DETERMINATIONS RELATING TO RESOURCE PROJECTS

## **Repeal of earlier Practice Directions**

(1) This Practice Direction repeals and replaces Practice Direction 4 of 2013 and Practice Direction 6 of 2015.

#### **Application and Purpose**

- (2) This Practice Direction sets out the case management procedures for the just and expeditious resolution of the following types of compensation matters under the *Mineral Resources Act 1989* (MRA) and the *Mineral and Energy Resources (Common Provisions) Act 2014* (MERCP Act):
  - referrals made to the Land Court pursuant to s 85 and s 85A of the MRA in respect of the grant and renewal of mining claims;
  - referrals made to the Land Court pursuant to s 279, s 279A and s 281 of the MRA in respect of the grant and renewal of mining leases; and
  - applications made to the Land Court pursuant to s 96(2), s 98 and s 100 of the MERCP Act in respect of conduct and compensation for land access.
- (3) These procedures recognise that parties may wish to negotiate their own agreement instead of expending time and money on the court process. The Land Court is committed to facilitating the resolution of matters by agreement through the provision of alternative dispute resolution (ADR) processes at no cost to the parties.
- (4) The Land Court also aims to ensure that matters are resolved in a timely manner in the interests of certainty and finality of proceedings.
- (5) Priority will be given to applications under the MERCP Act which trigger access rights in favour of the resource authority holder.

## Starting a Proceeding in the Land Court

- (6) A proceeding may start by either referral or by application.
- (7) Under the MRA, referrals to the Land Court are made by the Department of Natural Resources and Mines (DNRM) using the **Form 5 Referral**. Such referrals can occur in one of three ways:
  - at the request of the mining claim or mining lease applicant / holder;
  - at the request of the landholder;

- if neither party has requested the referral, by DNRM within 3 months of the events specified in s 85(12) or s 279(5) of the MRA.
- (8) Under the MERCP Act, either party may apply to the Land Court using a Form 1 Originating Application.
- (9) Regardless of how the proceeding starts, the Land Court will acknowledge receipt of the referral or application.

## **Identifying the Parties**

- (10) Regardless of how the proceeding is started in the Land Court or who instigates the proceeding:
  - the resource authority holder / applicant is the **applicant** for the proceeding;
  - the landholder is the **respondent** for the proceeding.

## **Supplying the Land Court with Information**

- (11) Within 10 days of the Land Court acknowledging receipt of the referral or application, the applicant (ie. the resource authority holder / applicant) must provide the Land Court with the following documents and information (unless already provided with the referral or application):
  - a map showing the area of the resource authority and the access land for the authority;
  - information and maps showing which areas of the resource authority are situated on which underlying land tenures;
  - a copy of the application for grant, the renewal application or the issued resource authority (whichever is relevant);
  - a copy of any compensation agreement or conduct and compensation agreement (CCA) made in respect of the resource authority;
  - a copy of any environmental authority issued for the resource authority under the *Environmental Protection Act 1994*:
  - a compensation statement detailing the amount of compensation that the resource authority holder / applicant is willing to pay having regard to:
    - in the case of a mining claim the criteria set out in s 85(7) and (8) of the MRA
    - in the case of a mining lease the criteria set out in s 281(3) and (4) of the MRA
    - in land access cases the criteria set out in s 81(4) of the MERCP Act.

(12) The documents and information referred to above should be organised in chronological order, page numbered and be accompanied by an index in the following format:

<b>Document Description</b>	Date	Page Numbers

(13) The applicant must serve a copy of the documents and information on the respondent at the same time as the documents and information are filed with the Land Court.

## **Preliminary Conference**

- (14) A court officer will contact the parties to schedule a preliminary conference as soon as reasonably practicable. This may be held in the region, or may occur by telephone or other remote means.
- (15) The purpose of the preliminary conference is to facilitate discussions between the parties in an attempt to reach agreement or at least narrow the issues in dispute.
- (16) The preliminary conference will be chaired by either a Land Court Member or the Judicial Registrar.
- (17) Each party must attend in person or be represented by a person with full authority to settle the proceeding. Where appropriate, the chairperson may allow:
  - attendance by others, such as expert witnesses or other advisors;
  - participation by telephone, video or other remote means.
- (18) The party or representative attending the preliminary conference must:
  - be familiar with the substance of the issues in dispute;
  - be prepared to identify and discuss the issues in dispute in an attempt to negotiate a settlement;
  - have authority to settle the matter or any issue in dispute.
- (19) Each party must act reasonably and genuinely in the preliminary conference process.
- (20) A preliminary conference is conducted on a 'without prejudice' basis. Evidence of anything said or any admission made at the conference is not admissible in any subsequent proceeding without the consent of the parties.

## **Conclusion of the Preliminary Conference Process**

- (21) If the parties agree about compensation at the preliminary conference, the Member or Judicial Registrar can make final orders disposing of the matter at the conference.
- (22) If agreement is not reached, the matter will be listed for a directions hearing. The Member or Judicial Registrar presiding at the preliminary conference may also make directions, including directions about:

- providing further information, including any expert reports;
- scheduling further conferences;
- setting the process and timeframes for determining the matter.

## Agreement at any time terminates proceedings

(23) The parties can reach agreement about compensation at any time, with or without the assistance of the Land Court. If agreement is reached, the parties can provide the Land Court with agreed orders which will bring the proceeding to an end.

Fleur Kingham President 21 February 2017

## PRACTICE DIRECTION NUMBER 2 of 2018

## LAND COURT OF QUEENSLAND

## SITE INSPECTIONS

- 1. This Practice Direction, issued pursuant to s 22(2) of the *Land Court Act 2000*, defines the process for site inspections in Land Court hearings. Most cases in the Land Court involve the value of land, the impact of activities on land or the terms of access to or use of land. Site inspections can provide useful context for the hearing.
- 2. In the ordinary course, the court will conduct a site inspection at or shortly before the commencement of a hearing. At the hearing review, the Member hearing the case will decide whether there will be a site inspection and, if so, will settle the arrangements in consultation with the parties.
- 3. Five working days prior to the hearing review, the parties must provide the associate to the Member hearing the case a proposed site inspection plan that deals with the following matters:
  - a. the address of any site(s) to be inspected;
  - b. the person or company in control of the site(s);
  - c. the date and time proposed for the site inspection;
  - d. the exact location of any site(s) to be inspected;
  - e. the order in which any sites are to be inspected;
  - f. how long the site inspection is expected to take;
  - g. who will attend and their role in the site inspection;
  - h. whether there are any special requirements for the site inspection (e.g. particular vehicles); and
  - i. if any party seeks an order for evidence to be taken during a site inspection:
    - i. the names of any proposed witness;
    - ii. if a proposed witness is an expert, their field of expertise;
    - iii. the proposed time and place for the evidence to be taken and an estimate of the length of the evidence;
  - iv. their reasons for proposing evidence is taken during the site inspection; and
  - v. the attitude of any other parties to their request.
- 4. During a site inspection, a party or their representative may:
  - a. draw the Member's attention to an area, item, feature or condition;
  - b. ask the Member to specifically observe something about that area, item, feature or condition;
  - c. identify in what way the area, item, feature or condition relates to an issue for the hearing; and
  - d. identify which witness(es) will give evidence about it.

However, in doing so, what a party or their representative says to the Member is not evidence in the hearing.

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- 5. Unless ordered prior to the site inspection, the Court will not take evidence from any witness during a site inspection. If an order is made for evidence to be taken during a site inspection:
  - a. evidence will only be taken from witnesses specified in the order and only about topics specified in the order; and
  - b. the associate or another court officer will record the evidence on a portable recording device provided by the court.
- 6. Unless otherwise ordered, an Authorised Officer of the Department of Natural Resources, Mines and Energy (NRME Officer) will escort the court party during site inspections for hearings of objections to the grant of resource tenures and environmental authorities; or for access to land and compensation for the grant of resource tenures.
- 7. If paragraph 6 applies, the associate to the Member hearing the case will identify the NRME Officer who will escort the court party and advise the parties, and will keep the NRME Officer informed about arrangements for the site inspection.
- 8. Prior to the site inspection, the parties must:
  - a. agree on who will lead the site inspection; and
  - b. provide the Land Court Registry and, where applicable, the NRME Officer, with a map that shows the meeting point and any sites to be inspected, numbered in the order in which they will be inspected.
- 9. During the site inspection, the person in control of any site<sup>1</sup> to be inspected is responsible for:
  - a. ensuring the site is safe for inspection and complies with the requirements of relevant acts and regulations;<sup>2</sup>
  - b. advising the court as soon as practicable of any special site requirements that it cannot provide;<sup>3</sup>
  - c. providing access to a reasonable range of facilities;<sup>4</sup> and
  - d. if necessary, conducting an induction before the court party enters the site.<sup>5</sup>

Fleur Kingham President 19/03/2018

<sup>&</sup>lt;sup>1</sup> For example, the holder of a mining lease will be in control of the mining lease area.

<sup>&</sup>lt;sup>2</sup> Work Health and Safety Act 2011; Coal Mining Safety and Health Act 1999; Petroleum and Gas (Production and Safety) Act 200; and Mining and Quarrying Safety and Health Act 1999 and associated regulations

<sup>&</sup>lt;sup>3</sup> Such as walking boots, protective clothing, hard-hat, 4WD vehicle etc.

<sup>&</sup>lt;sup>4</sup> Such as water, shelter and toilet facilities.

<sup>&</sup>lt;sup>5</sup> An induction may be required if the site inspected is under active mining.

## LAND COURT OF QUEENSLAND

## PRACTICE DIRECTION No. 3 of 2009

## Information to be provided prior to hearing

Where relevant, the following information is to be provided, no later than 10 days before any hearing:

- a. Details of any interpreting and translating requirements.
- b. Any practical and procedural arrangements that may need to be made by the Court to accommodate persons coming before it.
- c. Any other information that may be required to facilitate the appropriate treatment of persons during the hearing for example, cultural issues affecting the way in which evidence is given.

Carmel MacDonald President 4<sup>th</sup> September 2009

## LAND COURT OF QUEENSLAND

## PRACTICE DIRECTION No. 4 of 2009

## Taking evidence and submissions by audio visual links and audio links

- 1. Evidence and submissions may be taken in a proceeding by the Land Court by audio visual link or audio link in accordance with s.39Q s.39Z of the *Evidence Act 1977*. The following procedure is intended to streamline the taking of evidence by audio visual link or audio link, and in particular, to avoid delay and disruption during the hearing.
- 2. A party wishing to have evidence or submissions taken by audio visual link or audio link must, at least fourteen (14) days before the date set for hearing, file and serve an application for an order that the evidence or submissions may be taken in that way.
- 3. Where such an order is made, a party calling a witness must, unless the Court otherwise orders
  - a. (i) ascertain from the witness whether the evidence is to be given on oath or affirmed, and, where relevant, the form of oath to be taken; and
    - (ii) where evidence is to be given on oath, ensure that the witness will have a Bible or other relevant holy book available;
  - b. explain to the witness that the evidence will be given in Court proceedings and recorded, and that the witness may be cross-examined by other parties or their lawyers and questioned by the presiding Member;
  - c. at least five (5) days before the commencement of the hearing, inform the Deputy Registrar of the audio visual or audio link number where the witness will be available:
  - d. arrange for the witness to be available to give evidence at a designated time (to be arranged with the Deputy Registrar) on the designated number.
- 4. In most cases, the Court will place the call to the witness, rather than vice versa.
- 5. Unless the Court otherwise orders, a party who applies to have evidence or submissions taken by audio visual or audio link must pay the expenses incurred in connection with taking the evidence or submissions in that way. Arrangements for meeting those expenses must be made with the Deputy Registrar prior to the witness giving evidence.

Carmel MacDonald President 4<sup>th</sup> September 2009

# PRACTICE DIRECTION NUMBER 7 of 2015 LAND COURT OF QUEENSLAND

#### FILING WRITTEN SUBMISSIONS

- (1) The purpose of this practice direction is to ensure that where written submissions are presented by or on behalf of a party:
  - (a) the submissions will be retained on the court file in relation to the matter, and be available for future reference in the matter; and
  - (b) the submissions are available for search and copy under Rules 981 and 980 of the Uniform Civil Procedure Rules 1999.
- (2) Unless the court otherwise orders, it will therefore be taken that where written submissions are presented by or on behalf of a party in a matter, there is a concurrent grant of leave that they be filed and read.
- (3) In such situations, the attending Deputy Registrar, or other proper officer of the court, will endorse the file in relation to the matter, recording the filing and reading of the submissions and identifying the submissions (as to the relevant party, and date), and will place a copy of the submissions on the file in the appropriate place.
- (4) Where such submissions are presented, two copies should be provided, one for the file and one "working copy" for the Member.

Carmel MacDonald President 9 September 2015

## LAND COURT OF QUEENSLAND

## PRACTICE DIRECTION No. 5 of 2009

## Form of address in Court

From 4<sup>th</sup> September 2009 the President and Members of the Land Court are to be addressed as "Your Honour" during Court hearings.

Carmel MacDonald President 4<sup>th</sup> September 2009

## **Practice Direction 2 of 2023**

## PHOTOGRAPHY AND ELECTRONIC DEVICES IN COURT BUILDINGS

- 1. The purpose of this practice direction is to clarify the permitted use of electronic devices and taking of photographs in court rooms used for Land Court hearings.
- 2. The following provisions are subject to any contrary direction by a judicial officer.
- 3. The Protocol for the Recording and Broadcasting of Judgment Remarks issued by the Supreme Court of Queensland applies also to the Land Court (see <a href="https://www.courts.qld.gov.au/">https://www.courts.qld.gov.au/</a> data/assets/pdf file/0007/485224/protocol-for-recording-and-broadcasting-judgment-remarks.pdf).

#### **Definitions**

- 4. In this practice direction, the following definitions apply:
  - (a) "accredited media" means media personnel who are accredited pursuant to the Supreme Court of Queensland *Media Accreditation Policy*;
  - (b) "courtroom" means any room in which a hearing is taking place before a member or Judicial Registrar of the Land Court;
  - (c) "President's delegate" means the senior judicial officer, or in the absence of judicial officers, the most senior registrar at the relevant courthouse;
  - (d) "electronic device" means any device capable of sending, receiving, or recording data (including capturing images) or any combination of those functions and includes any camera, smartphone, cellular phone, computer, laptop, tablet, notebook, personal digital assistant, or other similar device;

- (e) "judicial officer" means the President or Member who is presiding in the particular matter or the Judicial Registrar;
- (f) "lawyer" means an "Australian legal practitioner" as that term is defined in the *Legal Profession Act* 2007;

#### **Prohibition**

- 5. Electronic devices may not be used in any courtroom unless permitted:
  - (a) by this practice direction, or
  - (b) by the judicial officer.
- 6. In addition, an electronic device may not be used in a courtroom:
  - (a) in a manner that interferes with the court recording system or other technology;
  - (b) in a manner that interferes with courtroom decorum, is inconsistent with the court's functions, or otherwise impedes the administration of justice;
  - (c) in a manner that generates sound or requires speaking into the device;
  - (d) to take photographs or video images; or
  - (e) to record or digitally transcribe the proceedings except as permitted by this policy.
- 7. Accessing the Internet via the Court's Wi-Fi Service (see <a href="https://www.courts.qld.gov.au/services/court-technology">https://www.courts.qld.gov.au/services/court-technology</a>) does not interfere with official court recordings and may be used as a way of communicating from within courtrooms providing the service.
- 8. Electronic devices may interfere with the recording of proceedings by official court reporting services if positioned too closely to microphones located within the courtroom. Even when muted or in passive mode, these devices may cause interference. Should any device interrupt or interfere with proceedings, the judicial officer may authorise the associate or other appropriate officer to take possession of the device.

## Use of electronic real-time text-based communications and social media by accredited media is permitted

- Accredited media wishing to use electronic real-time text-based communications and social media to report proceedings may do so provided it does not interrupt the proceedings.
- 10. It remains the responsibility of the publisher to ensure that court reporting laws (for example, but not limited to, contempt of court provisions and the identification of vulnerable witnesses) are adhered to and non-publication orders are not breached. Accredited media should seek their own legal advice and set their own guidelines for reporting court matters.
- 11. Lawyers may use electronic devices to send and receive text and other data in a discreet manner provided doing so does not interfere with the proceedings.
- 12. This direction does not impinge on a judicial officer's right to revise, subsequently, a judgment delivered ex tempore; or a judicial officer's right, in a particular case, to prohibit the use of electronic real-time text-based communications and social media.

## Private audio-recordings by accredited media are permitted

- 13. The recording of proceedings issued by the official court reporting service is and will remain the authoritative record of proceedings.
- 14. Accredited media may make a private audio recording, provided it is done unobtrusively and without interruption to the proceedings. For that purpose:
  - (a) a member of the accredited media may take a hand-held recorder into a courtroom and activate it:
  - (b) any such hand-held recorder must remain at all times in the physical possession of the member of the accredited media;
  - (c) any such hand-held recorder must be de-activated by the member of the accredited media during any adjournment of the proceeding.

15. The purpose of permitting such recording is to maintain accuracy in the reporting of proceedings. The audio content of the recording must not be broadcast or otherwise published.

16. This direction does not impinge on a judicial officer's right to revise, subsequently, a judgment delivered ex tempore; or a judicial officer's right, in a particular case, to prohibit private audio- recordings.

## Other restrictions on publication

17. Nothing in this practice direction alters the effect of any statute or court order restricting the publication of proceedings in a courtroom.

#### Commencement

18. This Practice Direction takes effect from 27 March 2023.

President FY Kingham

Issued 27 March 2023